



Now the New Self-Regulatory Organization of Canada,
a consolidation of IIROC and the MFDA

Bare Trustee Agreements

Approved Fund Managers as of December 31, 2022

Fund Manager Funds covered by agreement	Region	Type of Products
1 1832 Asset Management L.P. (Dynamic Funds) Includes Deposit accounts	Canada	Mutual Funds / Hedge Funds / LSF's
2 3IQ CORP. 3IQ Corp.	Canada	Pooled Fund
3 Aberdeen Global Services S.A. Aberdeen Global II Funds Aberdeen Global Funds	Overseas	Mutual Fund
4 Accilent Capital Management Inc. Capsure Hedged Oil and Gas Income & Growth Trust	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
5 Acker Finley Asset Management Inc. QSATM Funds	Canada	Mutual Fund
6 ACM Advisors Ltd. ACM Commercial Mortgage Fund	Canada	Mortgage Investment Fund
7 Addenda Capital Inc. Addenda Pooled Funds	Canada	Pooled Fund
8 AGAWA Fund Management Inc. AGAWA Fund I Limited Partnership	Canada	Limited Partnership
9 AGF Investments Inc. AGF Pooled funds Acuity family of mutual funds AGF Elements family of mutual funds Harmony family of mutual funds AGF family of mutual funds Acuity Pooled funds	Canada	Mutual Fund / Pooled Fund
10 AIP Asset Management Inc. AIP Convertible Private Debt Fund LP	Canada	Limited Partnership
11 Aldergrove Credit Union	Canada	GIC's
12 Algonquin Capital Corporation	Canada	Limited Partnership
13 Aligned Capital Partners Inc.	Canada	Mutual Fund
14 Alignvest Capital Management Inc.	Canada	Hedge Fund
15 Alignvest Student Housing Real Estate Investment Trust Alignvest Student Housing REIT	Canada	REIT

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
16 Alitis Investment Counsel Inc. Alitis Private REIT Alitis Mortgage Plus Fund	Canada	REIT
17 AlphaFixe Capital Inc.	Canada	Pooled Fund
18 AlphaNorth Asset Management	Canada	Mutual Fund
19 Altema Asset Management Inc. Altema Diversified Equity Market Neutral Fund	Canada	Hedge Fund
20 Altervest Ltd.	Canada	Pooled Fund
21 Altrust Investment Solutions Inc.	Canada	Hedge Fund
22 Anson Funds Management LP Anson North Star Trust	Canada	Pooled Fund
23 Antares Investment Management, Inc.	Canada	Pooled Fund
24 Antrim Investments Ltd./Antrim Balanced Mortgage Fund Ltd. Antrim Balanced Mortgage Fund Ltd.	Canada	Mortgage Investment Fund
25 Arrow Capital Management Inc.	Canada	Mutual Fund / Hedge Fund
26 Artemis Investment Management Limited Alpha Scout Fund and RSP Fund Robson Alpha Scout Fund	Canada	Mutual Fund / Limited Partnership
27 Arxnovum Investments Inc. Arxnovum Bitcoin Fund	Canada	Pooled Fund
28 Aryeh Capital Management Ltd. Aryeh Capital Partners Canada, LP	Canada	Limited Partnership
29 ATB Investment Management Inc.	Canada	Mutual Fund
30 Auspice Capital Advisors Ltd. Auspice Diversified Trust	Canada	Mutual Fund Trust
31 Aventine Management Group Inc. Aventine Canadian Equity Fund BTH Tactical Growth Fund	Canada	Mutual Fund
32 Axcess Capital Advisors Inc.	Canada	Pooled Fund
33 AYAL Capital Advisors Limited AYAL Capital Advisors Trust Fund	Canada	Mutual Fund Trust
34 B.E.S.T Investment Counsel Limited B.E.S.T. Active 365 Fund LP NB Specialty Finance Income Fund (CAD) B.E.S.T. Total Return Fund Inc. The Business, Engineering, Science & Technology Discoveries Fund Inc.	Canada	Mutual Fund
35 B2B Bank	Canada	GIC's / Investment Savings Accounts
36 Baker Gilmore & Associates Inc. Baker Gilmore & Associates Pooled Funds	Canada	Pooled Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
37 Bank of America, National Association (Canada Branch)	Canada	GIC's
38 Bank of Canada	Canada	Other
39 Bank of Montreal	Canada	Investment Savings Account / GIC's
40 Bank of Montreal Mortgage Corp.	Canada	GIC's
41 Bank of Nova Scotia Montreal Trust Company of Canada Scotia Mortgage Corporation Bank of Nova Scotia National Trust Company / Victoria&Grey MortgageCorp.	Canada	GIC's
42 Barometer Capital Management Inc.	Canada	Mutual Fund / Pooled Fund
43 Barrage Capital Inc.	Canada	Mutual Fund / Hedge Fund / GIC's
44 Barrantagh Investment Management Inc.	Canada	Mutual Fund
45 Bastion Asset Management Inc.	Canada	Investment Funds
46 BCV Asset Management Inc.	Canada	Pooled Fund
47 Bedford Park Capital Corporation Bedford Park Opportunities Fund	Canada	Limited Partnership
48 Belco Private Capital Inc.	Canada	Pooled Fund
49 Bellwether Investment Management Inc. Bellwether family of funds.	Canada	Pooled Fund
50 Beutel Goodman & Company Ltd	Canada	Mutual Fund/Pooled Fund/Seg Fund
51 BirchLeaf Investments Inc. BirchLeaf Growth Fund	Canada	Pooled Fund
52 Black Swan Dexteritas Inc. BSD Global Tech Hedge Fund LP	Canada	Limited Partnership
53 BlackBridge Capital Management Corp.	Canada	Mutual Fund
54 BlackRock (Luxembourg) SA BlackRock Global Funds	Overseas	Mutual Fund
55 BlackRock Asset Management Canada Limited BlackRock Asset Management Canada Limited	Canada	Mutual Fund
56 Bloom Burton Investment Group Inc.	Canada	Pooled Fund
57 Bloom Investment Counsel, Inc.	Canada	Mutual Fund
58 BloombergSen Inc. BloombergSen Partners Trust	Canada	Mutual Fund
59 BMG Management Services Inc.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
60 BMO Investments Inc. / BMO Investissements Inc. BMO Mutual Funds	Canada	Mutual Fund
61 BMO Life Assurance Company BMO Guaranteed Investment Funds	Canada	Segregated Fund
62 BMO Nesbitt Burns Inc.	Canada	Mutual Fund / Linked Notes
63 BMO Private Investment Counsel Inc.	Canada	Mutual Fund
64 BMO Trust Company	Canada	GIC's / Investment Savings Accounts
65 BNP Paribas (Canada)	Canada	Linked Notes
66 BNY Mellon Global Management Ltd. BNY Mellon Global Management Ltd. family of funds Universal Liquidity Funds PLC	Overseas	Mutual Fund
67 Brandes Investment Partners & Co.	Canada	Mutual Fund
68 Brandywine Global Investment Management (Canada), ULC	Canada	Pooled Fund
69 Bridgeport Asset Management Inc. Bridgeport High Income Fund Bridgeport Cdn Equity Fund Bridgeport US Equity Fund	Canada	Investment Funds
70 Bridgewater Bank	Canada	GIC's
71 Bridging Finance Inc.	Canada	Pooled Fund
72 Bristol Gate Capital Partners Inc. Bristol Gate U.S. Equity Fund LP	Canada	Mutual Fund / Limited Partnership
73 Brompton Funds Limited	Canada	Mutual Fund
74 Brookfield Investment Management (Canada) Inc.	Canada	Pooled Fund
75 Brookfield Public Securities Group LLC Oaktree Strategic Credit Trust (Canadian Feeder)	U.S.	Mutual Fund
76 Brookfield Public Securities Group LLC/Brookfield Real Estate I Brookfield Real Estate Income Corp.	U.S.	Other
77 Brookfield Soundvest Capital Management Ltd. Soundvest North American Opportunity Pool	Canada	Pooled Fund
78 BT Global Growth Inc. BT Global Growth Fund L.P.	Canada	Hedge Fund
79 Bull Capital Management Inc. EFG Private Portfolio Series ING Canadian Equity Class Shares Global Equity Class Shares Balanced Class Shares	Canada	Pooled Fund
80 Burgundy Asset Management Ltd.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
81 CADO Investment Fund Management Inc. Maple Leaf Resources Class Maple Leaf Income Class	Canada	Mutual Fund
82 Caldwell Investment Management Ltd.	Canada	Mutual Fund
83 Canada Life Assurance Company (The)	Canada	Segregated Fund
84 Canadian Tire Bank Canadian Tire Bank	Canada	GIC's
85 Canadian Western Bank	Canada	GIC's
86 Canadian Western Trust	Canada	Mutual Fund / GIC's
87 CanCity Capital Inc.	Canada	Limited Partnership
88 Canoe Financial LP	Canada	Investment Funds / Limited Partnership
89 Canso Fund Management Ltd.	Canada	Mutual Fund
90 Capital Direct I Income Trust Capital Direct I Income Trust	Canada	Mortgage Investment Fund
91 Capital International Asset Management Inc. (Canada) Inc.	Canada	Mutual Fund
92 Capstone Asset Management Inc.	Canada	Pooled Fund
93 Cardinal Capital Management Inc. Cardinal Canadian Equity Pool Cardinal Foreign Equity Pool	Canada	Pooled Fund
94 Castle Ridge Asset Management Limited Castle Ridge Market Neutral LP Castle Ridge LP	Canada	Limited Partnership
95 Celernus Investment Partners Inc. Celernus Mortgage and Income Trust Fund Celernus Absolute Growth Fund	Canada	Hedge Fund
96 Centurion Asset Management Inc.	Canada	REIT
97 Chou Associates Management Inc.	Canada	Mutual Fund
98 Christopher Ross Cunningham Investments Ltd. CIG Value Fund	Canada	Pooled Fund
99 CI Investments Inc.	Canada	Mutual Fund / Segregated Fund
100 CIBC	Canada	Mutual Fund / GIC's / Linked Notes
101 CIBC Asset Management Inc.	Canada	Mutual Fund / Segregated Fund
102 CIBC Investor Network	Canada	GIC's

Fund Manager	Region	Type of Products
Funds covered by agreement		
CIBC Trust Corporation		
CIBC Mortgages Inc.		
CIBC Mortgages Inc. (FLT)		
Services Hypothecaires CIBC Inc.		
CIBC		
103 Cidel Asset Management Inc.	Canada	Pooled Fund
104 Coast Capital Savings Federal Credit Union	Canada	GIC's
105 Coastal Community Credit Union	Canada	GIC's
106 Community Trust Company Ltd.	Canada	GIC's
107 Concentra Bank	Canada	GIC's
108 Connor, Clark & Lunn Funds Inc. CC&L fund family	Canada	Mutual Fund
109 Connor, Clark & Lunn Private Capital Ltd.	Canada	Mutual Fund
110 Cornerstone Investment Counsel Ltd. Blueprint Global Strategies Pool Blueprint Enhanced Opportunities Pool	Canada	Pooled Fund
111 Cortland Credit Group Inc. Cortland Credit Strategies RRSP Fund Cortland Credit Strategies L.P.	Canada	Limited Partnership
112 Corton Capital Inc. Corton Global Timber Fund L.P.	Canada	Limited Partnership
113 Cote 100 Inc.	Canada	Mutual Fund
114 Counsel Portfolio Services Inc.	Canada	Mutual Fund
115 Covington Capital Corporation Covington Funds	Canada	Labor Sponsored Fund
116 Covista Capital Corp. Covista Value Fund Trust	Canada	Unit Trust
117 Cowan Asset Management Limited Cowan Absolute Return Fund	Canada	Pooled Fund
118 CQI Capital Management L.P. CQI Equity Opportunities Fund I CQI Income Opportunities Fund CQI Equity Opportunities Fund II Genesis Partners Fund LP	Canada	Mutual Fund
119 Credit Suisse AG	Overseas	Linked Notes
120 Crimson Asset Management Crimson Partners Small Cap Fund LP	Canada	Limited Partnership
121 Crystalline Mgt Inc. / Gestion Cristallin Inc.	Canada	Hedge Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
122 Cumberland Private Wealth Management Inc. Kipling Private Investment Portfolios	Canada	Pooled Fund
123 CWB Wealth Management Ltd. CWB Onyx Portfolio Series	Canada	Mutual Fund
124 Cypress Capital Management Ltd.	Canada	Pooled Fund
125 DaVinci Capital Partners Inc. DaVinci Alternative Income Fund	Canada	Pooled Fund
126 Davis-Rea Ltd. / Davis-Rea Ltee.	Canada	Mutual Fund / Pooled Fund
127 Deans Knight Capital Management Ltd. Deans Knight Equity Growth Fund II Deans Knight Income Fund Deans Knight Equity Growth Fund Deans Knight Capital Pool Funds	Canada	Pooled Fund / Segregated Funds
128 Delbrook Capital Advisors Inc. Delbrook Resource Opportunities Fund	Canada	Mutual Fund
129 Desjard. Cais. of Québec & Fédér. des Cais. Desjard. du Québec	Canada	GIC's
130 Desjardins Financial Security Life Assurance Co.	Canada	Segregated Funds / GIC's / Other
131 Desjardins Global Asset Management Inc.	Canada	Mutual Fund / Segregated Fund / GIC's
132 Desjardins Société de placement inc.	Canada	Mutual Fund
133 Desjardins Trust Inc. Maestral Funds GIC's - Formerly Zag Bank GIC	Canada	Mutual Fund / GIC's
134 Dimensional Fund Advisors Canada ULC.	Canada	Mutual Fund
135 Discovery Capital Management Corp.	Canada	Mutual Fund
136 Dixon Mitchell Investment Counsel Inc. Dixon Mitchell Small Cap Fund	Canada	Mutual Fund
137 DMAT Capital Management Inc. DMAT Tactical Income Fund LP	Canada	Limited Partnership
138 Donville Kent Asset Management Inc. Donville Kent Asset Management	Canada	Limited Partnership
139 Duncan Ross Associates Ltd.	Canada	Mutual Fund
140 Durham Asset Management Inc. DAMI Corporate Bond Fund	Canada	Mutual Fund
141 East Coast Asset Management SEZC East Coast SPC, Strategic Credit SP	Canada	Pooled Fund
142 East Coast Fund Management Inc.	Canada	Pooled Fund
143 East West Investment Management Corporation East West Canada Fund LP	Canada	Limited Partnership

Fund Manager	Region	Type of Products
Funds covered by agreement		
144 EdgeHill Partners EdgeHill family of funds.	Canada	Hedge Fund
145 EdgePoint Wealth Management Inc. Edgepoint family of mutual funds	Canada	Mutual Fund
146 Educators Financial Group Inc.	Canada	Mutual Fund
147 Effort Trust Company (The)	Canada	GIC's
148 Emerge Canada Inc. Emerge ARK ETF	Canada	Mutual Fund
149 Empire Life Insurance Company Interest investment options provided within an insurance contract Segregated Funds	Canada	Segregated Fund
150 Empire Life Investments Inc. Empire Life	Canada	Mutual Fund
151 EnTrust Focus Partners LP EnTrust 49 Focus Fund	Canada	Unit Trust
152 Epic Capital Management Inc.	Canada	Pooled Fund
153 eQuaTe Asset Management Inc.	Canada	Mutual Fund
154 Equilibrium Capital Management Inc.	Canada	Mutual Fund
155 Equitable Bank	Canada	GIC's / Investment Savings Accounts
156 Equitable Trust	Canada	GIC's / Investment Savings Accounts
157 Equiton Capital Inc. Equiton Residential Income Fund Trust	Canada	Pooled Fund
158 Equium Capital Management Inc.	Canada	Mutual Fund
159 ETF Capital Management Quintessence Wealth Group of Funds	Canada	Pooled Fund
160 Evolve Funds Group Inc.	Canada	Mutual Fund
161 Ewing Morris & Co. Investment Partners Ltd. Ewing Morris Flexible Income Fund LP Ewing Morris Small Cap Fund LP	Canada	Limited Partnership
162 Excalibur Capital Management Inc. Excalibur Limited Partnership Excalibur Small Cap Opportunities LP	Canada	Hedge Fund / Limited Partnership
163 Excel Funds Management Inc.	Canada	Mutual Fund
164 Faircourt Asset Management Inc. Faircourt Dividend Fund (Mutual Fund) Faircourt Pooled Monthly Income Fund (Pooled Fund)	Canada	Mutual Fund / Pooled Fund
165 Fairstone Bank of Canada XIB Fund	Canada	GIC's

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
166 Federated Investors Canada ULC Federated Strategic Value U.S. Equity Dividend Fund	Canada	Mutual Fund
167 Fédération des caisses Desjardins due Quebec	Canada	Linked Notes
168 Fidelity Investments Canada ULC	Canada	Mutual Fund
169 Fiducie Desjardins Inc.	Canada	Mutual Fund
170 Fieldhouse Capital Management Inc. Fieldhouse Pro Funds Inc.	Canada	Mutual Fund
171 Fiera Capital Corporation	Canada	Mutual Fund/Hedge Fund/Pooled Fund
172 FIL Limited	Overseas	Mutual Fund
173 Financière des professionnels - Fonds d'investissement inc.	Canada	Mutual Fund
174 First Ontario Credit Union	Canada	GIC's
175 First Reliance Asset Management First Reliance Real Estate Investment Trust	Canada	REIT
176 First West Credit Union Ltd.	Canada	GIC's
177 Fondaction, Le Fonds De Develop. de la Confed. des Synd. Natio	Canada	Mutual Fund
178 Forge First Asset Management Inc. Forge First Long Short Alternative Fund Forge First Conservative Alternative Fund	Canada	Mutual Fund
179 Formula Growth Limited Formula Growth Fund Formula Growth Hedge Funds Class A Formula Growth Hedge Funds Class F	Canada	Mutual Fund / Hedge Fund
180 Forstrong Global Asset Management Inc. Forstrong Global Balanced Fund Forstrong Global Income Fund Forstrong Global Growth Fund	Canada	Hedge Fund
181 Fort LP	U.S.	Pooled Fund / Limited Partnership
182 Forum Asset Management Inc.	Canada	Mutual Fund
183 Foyston, Gordon & Payne Inc. FGP Funds Family of Pooled Funds	Canada	Pooled Fund
184 Franklin Templeton International Services S.à.r.l. Franklin Templeton Investment Funds	Overseas	Mutual Fund
185 Franklin Templeton Investments Corp. Franklin Templeton Mutual Funds Bissett Mutual Funds	Canada	Mutual Fund/Pooled Fund/Seg Fund
186 FrontFour Capital Corp.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
FrontFour Opportunity Fund		
187 Frontwater Capital Inc.	Canada	Mutual Fund
188 FT Portfolios Canada Co.	Canada	Mutual Fund
189 Fulcra Asset Management Inc. Fulcra Credit Opportunities Fund	Canada	Mutual Fund
190 Gabelli Funds (The)	U.S.	Mutual Fund
191 Galibier Capital Management Ltd. Galibier Canadian Global Equity Pool Galibier U.S. Equity Pool Galibier Opportunities Fund	Canada	Pooled Fund
192 Galileo Global Equity Advisors Inc.	Canada	Mutual Fund
193 Galliant Advisors LP Galliant Equity Long/Short Fund LP	Canada	Investment Funds
194 General Bank of Canada	Canada	GIC's / Investment Savings Accounts
195 Genus Capital Management	Canada	Pooled Fund
196 Gestion Ferique	Canada	Mutual Fund
197 GFI Investment Counsel Ltd. Good Opportunities Fund	Canada	Hedge Fund
198 Global Growth Assets Inc.	Canada	Mutual Fund
199 Globevest Capital Ltee.	Canada	Mutual Fund / Pooled Fund
200 Goodman & Company, Investment Counsel, Inc.	Canada	Pooled Fund
201 Goodwood Inc. Goodwood Funds	Canada	Mutual Fund
202 Government of Quebec (for Placements Quebec)	Canada	Other
203 Grafton Asset Management Inc. Global Energy Growth Fund	Canada	Unit Trust
204 Gravitas Securities Inc.	Canada	Mutual Fund
205 Grayhawk Investment Strategies Inc. Grayhawk Core Pool Grayhawk Global Growth Pool Grayhawk Fixed Income Pool Grayhawk Opportunistic Alternatives Pool Grayhawk Structural Alternatives Pool	Canada	Pooled Fund
206 GreensKeeper Asset Management Inc. GreensKeeper Value Fund	Canada	Pooled Fund
207 Groundlayer Capital Inc.	Canada	Venture Capital Fund

Fund Manager Funds covered by agreement	Region	Type of Products
208 Growth Works Capital Ltd. Working Opportunity Fund (EVCC) Ltd. GrowthWorks Atlantic Venture Fund Ltd.	Canada	Labor Sponsored Fund
209 Guardian Capital LP Vega Funds Vector Funds Guardian Funds	Canada	Pooled Fund
210 Hamilton Capital Partners Inc.	Canada	Limited Partnership
211 Hamilton Lane (Canada) LLC	U.S.	Mutual Fund
212 Harvest Portfolios Group Inc.	Canada	Mutual Fund
213 Haventree Bank	Canada	GIC's
214 Hazelview Securities Inc.	Canada	Mutual Fund / Limited Partnership
215 Hemisphere Capital Management Inc.	Canada	Pooled Fund
216 HGC Investment Management Inc. HGC Fund LP	Canada	Limited Partnership
217 Highstreet Asset Management Inc.	Canada	Mutual Fund
218 Hillsdale Investment Management Inc.	Canada	Pooled Fund
219 Home Bank	Canada	GIC's / Investment Savings Accounts
220 Home Trust Company	Canada	GIC's / Investment Savings Accounts
221 HomeEquity Bank	Canada	GIC's
222 Horizons ETFs Management (Canada) Inc.	Canada	Unit Trust
223 HSBC Bank Canada Structured Alternative Investment Return Securities	Canada	Mutual Fund / GIC's
224 HSBC Investment Funds (Canada) Inc.	Canada	Mutual Fund
225 Hymas Investment Management Inc. Malachite Aggressive Preferred Fund	Canada	Mutual Fund / Hedge Fund
226 I.A. Michael Investment Counsel Ltd. ABC Funds	Canada	Mutual Fund
227 I.G. Investment Management Ltd.	Canada	Mutual Fund
228 IA Clarington Investments Inc.	Canada	Mutual Fund
229 IBV Capital Ltd. IBV Capital Global Value Canadian Feeder Fund LP	Canada	Limited Partnership

Fund Manager Funds covered by agreement	Region	Type of Products
230 iCapital Network Canada Ltd.	Canada	Pooled Fund
231 ICICI Bank Canada	Canada	GIC's / Investment Savings Accounts
232 ICM Asset Management Inc. ICM (1X) Real Estate Trust	Canada	Mutual Fund
233 Industrial Alliance Pacific Insurance and Financial Services Inc.	Canada	Segregated Fund
234 Industrial Alliance Trust Inc.	Canada	GIC's
235 Industrial and Commercial Bank of China (Canada)	Canada	GIC's
236 Industrielle Alliance, Assurance et services financiers inc.	Canada	Segregated Fund
237 Inflection Management Inc. Inflection Strategic Opportunity Fund	Canada	Unit Trust
238 Innocap Investment Management Inc. Quadra Liquid Bond L.P.	Canada	Limited Partnership
239 Innovation Credit Union	Canada	GIC's
240 Invesco Canada Ltd. GIC's Investment Savings Accounts IncomeTrusts The following investment products are covered: LP's Investment funds Segregated funds Linked notes	Canada	Investment Funds
241 Investec Asset Management Limited	Overseas	Mutual Fund
242 Invico Capital Corporation	Canada	Limited Partnership
243 Ivari	Canada	Mutual Fund / Segregated Fund
244 J. Zechner Associates Inc.	Canada	Pooled Fund
245 J.C. Clark Ltd.	Canada	Pooled Fund
246 Jarislowsky, Fraser Limited	Canada	Mutual Fund / Pooled Fund
247 JDM Investments Partners Ltd. Investment Partners Fund	Canada	Pooled Fund
248 Jemekk Capital Management (2017) Inc.	Canada	Mutual Fund / Pooled Fund
249 JM Fund Management Inc. JM Catalyst Fund	Canada	Hedge Fund
250 K J Harrison & Partners Inc.	Canada	Pooled Fund

Fund Manager Funds covered by agreement	Region	Type of Products
251 K2 & Associates Investment Management Inc. The K2 Principal Trust	Canada	Mutual Fund Trust
252 KAI Asset Management Inc. KAI Core Equity Pool	Canada	Pooled Fund
253 Kawartha Asset Management Inc. Kawartha Energy Opportunities Fund Inc. Kawartha High Conviction Resource Fund	Canada	Mutual Fund/Hedge Fund/Pooled Fund
254 KEB Hana Bank Canada	Canada	GIC's
255 Kensington Capital Advisors Inc.	Canada	Pooled Fund
256 Kilgour Williams Capital Incorporated KiWi Private Credit Trust	Canada	Unit Trust
257 Kingwest & Company	Canada	Mutual Fund / Pooled Fund
258 Kinsted Wealth Inc.	Canada	Mutual Fund
259 Langdon Equity Partners Ltd.	Canada	Mutual Fund
260 Laurentian Bank of Canada Includes B2B Trust term deposits	Canada	GIC's
261 Laurentian Trust of Canada Inc.	Canada	GIC's
262 Laurus Investment Counsel	Canada	Pooled Fund
263 LBC Trust	Canada	GIC's
264 LDIC Inc. Includes LDIC Closed-End Funds	Canada	Mutual Fund / Pooled Fund
265 Le Fonds de Solidarite des travailleurs du Quebec (F.T.Q.)	Canada	Mutual Fund
266 League Savings and Mortgage Company	Canada	GIC's
267 Legg Mason Global Funds PLC	Overseas	Mutual Fund
268 Leith Wheeler Investment Counsel Ltd. Leith Wheeler family of funds	Canada	Mutual Fund
269 Lester Asset Management Inc.	Canada	Mutual Fund
270 Level 3 Investment Management Inc. Level 3 Total Return Opportunities Fund	Canada	Pooled Fund / Hedge Funds
271 Lightwater Partners Ltd. Lightwater Long/Short Funds	Canada	Hedge Fund
272 Lincluden Investment Management Limited	Canada	Mutual Fund / Pooled Fund
273 LionGuard Capital Management Inc.	Canada	Limited Partnership

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
274 Lionridge Capital Management Inc. Lionridge Total Equity Fund	Canada	Pooled Fund
275 Longbow Capital Inc.	Canada	Mutual Fund
276 Lorica Investment Counsel Inc. Lorica Canadian Fixed Income Fund	Canada	Mutual Fund
277 Lorne Steinberg Wealth Management Inc. Steinberg High Yield Fund Steinberg Global Value Equity Fund	Canada	Pooled Fund
278 Lumen Asset Management Inc. Lumen Long Short Equity Fund LP	Canada	Limited Partnership
279 Lynwood Capital Management Inc. Lynwood family of funds	Canada	Pooled Fund
280 Lysander Funds Limited	Canada	Mutual Fund
281 Mackenzie Financial Corp.	Canada	Mutual Fund / Segregated Fund
282 MacNicol & Associates Asset Management Inc. The Global Opportunities Fund (LP) 360 Degree U.S. Reality Income Fund The Conservative Income Fund (LP) The Emergence Fund (LP) The Absolute Return Fund (LP)	Canada	Pooled Fund
283 Majestic Asset Management LLC	Canada	Mutual Fund / Pooled Fund
284 Manitou Investment Management Ltd. Manitou Investment Management Ltd.	Canada	Pooled Fund
285 Manufacturers Life Insurance Co. (The) Deferred Annuities	Canada	GIC's / Segregated Fund
286 Manulife Bank of Canada	Canada	GIC's / Investment Savings Accounts
287 Manulife Investment Management Ltd. Manulife Financial Corp. Family of Funds Manulife Canadian Real Estate Investment Fund - Pooled Fund, Limited Partn	Canada	Mutual Fund/Pooled Fund/Seg Fund
288 Manulife Trust Company	Canada	GIC's / Investment Savings Accounts
289 Marquest Asset Management Inc.	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
290 Marret Asset Management Inc. Marret	Canada	Hedge Fund
291 Marval Capital Ltd.	Canada	Mutual Fund
292 Matco Financial Inc. MFI series of funds	Canada	Mutual Fund
293 Mawer Investment Management Ltd.	Canada	Mutual Fund
294 Maxam Capital Management Ltd.	Canada	Mutual Fund

Fund Manager Funds covered by agreement	Region	Type of Products
295 MCAN Mortgage Corporation	Canada	GIC's
296 McElvaine Investment Management Ltd. The Osler Fund The McElvaine Investment Trust	Canada	Mutual Fund
297 McLean Asset Management Ltd. ROMC Trust	Canada	Mutual Fund
298 MD Financial Management Inc.	Canada	Mutual Fund
299 Meckelborg Financial Group Ltd. MFG Diversified Strategy Fund	Canada	Mutual Fund Trust
300 Mercer Global Investments Canada Limited	Canada	Pooled Fund
301 Merrill Lynch Canada Inc.	Canada	Linked Notes
302 Metric Asset Management Limited Partnership	Canada	Pooled Fund / Hedge Funds
303 MFS Investment Management Canada Limited	Canada	Mutual Fund
304 Middlefield Limited	Canada	Mutual Fund
305 Montrusco Bolton Investment Inc. Bolton Tremblay Funds inc.	Canada	Mutual Fund
306 Morgan Meighen & Associates Limited Smedley Fund Family	Canada	Pooled Fund
307 Mortgage Company of Canada Inc.	Canada	Mortgage Investment Fund
308 Mulvihill Capital Management Inc.	Canada	Mutual Fund
309 Natcan Trust Company	Canada	Mutual Fund / Pooled Fund
310 National Bank Investments Inc. Includes PPN's	Canada	Mutual Funds / GIC's / Inv. Savings Acct
311 National Bank of Canada National Bank Trust GIC's Natcan Trust GIC's National Bank of Canada GIC's	Canada	GIC's
312 National Bank Trust Inc.	Canada	Mutual Fund / Pooled Fund
313 National Trust Company Tourism & Entertainment Growth Fund Inc. Family of Funds	Canada	Mutual Fund
314 NCM Asset Management	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
315 Neighbourhood Holdings LP/Neighbourhood Holding Company Neighbourhood Holdings Limited Partnership	Canada	Mortgage Investment Fund
316 Neuberger Berman Canada ULC NB Speciality Finance Income Fund	Canada	Pooled Fund

Fund Manager Funds covered by agreement	Region	Type of Products
317 NewGen Asset Management Limited	Canada	Unit Trust
318 Newport Private Wealth Inc.	Canada	Mutual Fund
319 Next Edge Capital Corp.	Canada	Hedge Fund
320 Niagara Capital Partners Ltd. Niagara Access Alpha Fund	Canada	Mutual Fund
321 Nicola Wealth Management Ltd. NWM family of funds SPIRE LP's	Canada	Mutual Fund / Limited Partnership
322 Ninepoint Partners LP	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
323 North Growth Management Ltd.	Canada	Mutual Fund
324 Northern Savings Credit Union Terrace & District Credit Union	Canada	GIC's
325 Northpoint Investment Partners Ltd.	Canada	Pooled Fund
326 NorthStream Capital Inc. NorthStream Credit Strategies Fund LP	Canada	Limited Partnership
327 Northwest & Ethical Investments L.P.	Canada	Mutual Fund
328 Nymbus Capital Inc.	Canada	Mutual Fund
329 OCP Fund Management LP	Canada	Limited Partnership
330 OGAM Ltd.	Canada	Pooled Fund
331 Onex Credit Partners, LLC OCP Debt Opportunity Class Onex Credit Partners LLC	Canada	Pooled Fund
332 Optimize Inc. Optimized Pooled Funds	Canada	Mutual Fund Trust
333 Optimum Asset Management Inc.	Canada	Mutual Fund / Hedge Fund / GIC's
334 Outcome Metric Asset Management LP	Canada	Pooled Fund
335 Palette Investment Management Inc. First Canadian Securities 2017	Canada	Limited Partnership
336 Palisade Capital Management Ltd.	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
337 Palos Management Inc. Rendez-vous Global Equity Fund LP - \$CDN and \$US Palos Family of funds	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
338 Pathfinder Asset Management Ltd.	Canada	Mutual Fund
339 Peace Hill Trust Company	Canada	GIC's

Fund Manager Funds covered by agreement	Region	Type of Products
340 Pembroke Private Wealth Management Ltd.	Canada	Mutual Fund / Pooled Fund
341 PenderFund Capital Management Ltd. Pender Value Fund II Pender Value Fund Pender Strategic Growth and Income Fund Pender Special Situations Fund Pender Small/Mid Cap Dividend Fund Pender Small Cap Opportunities Fund Pender Emerging Markets Impact Fund Pender Income Advantage Fund Pender Partners Fund Pender Global Focused Fund Pender Enhanced Income Fund Pender Corporate Bond Fund Pender Alternative Absolute Return Fund Pender Alternative Arbitrage Fund Pender Bond Universe Fund	Canada	Mutual Fund
342 Peoples Trust Company	Canada	GIC's
343 Perennial Asset Management Corp. Perennial Fixed Income Portfolio Perennial Equity Portfolio	Canada	Mutual Fund
344 Performance Capital Ltd.	Canada	Hedge Fund
345 Periscope Capital Inc.	Canada	Pooled Fund / Limited Partnership
346 PFM Venture Capital Operations Inc. SaskWorks Venture Fund Inc.	Canada	Labor Sponsored Fund
347 Picton Mahoney Asset Management	Canada	Mutual Fund / Hedge Fund
348 Pier 21 Asset Management Inc. Pier 21	Canada	Pooled Fund
349 PIMCO Canada Corp.	Canada	Mutual Fund / Hedge Fund
350 PIMCO Global Advisors (Ireland) Limited PIMCO Funds - Global Investor Services	Overseas	Mutual Fund
351 Pinnacle Wealth Brokers Inc. Pinnacle Listed Equity Pool	Canada	Pooled Fund
352 Polar Asset Management Partners Inc.	Canada	Hedge Fund
353 Pollitt Investment Counsel Inc. Plutus Super Flow-through Limited Partnership	Canada	Pooled Fund / Limited Partnership
354 Portfolio Stewards Inc. Stewardship Alternative Income Fund	Canada	Mutual Fund
355 Portland Investment Counsel Inc.	Canada	Investment Funds / Limited Partnership
356 Pratte Gestion de portefeuilles	Canada	Investment Funds

Fund Manager Funds covered by agreement	Region	Type of Products
357 President's Choice Bank	Canada	GIC's
358 Primevest Capital Corp.	Canada	Mutual Fund
359 Prospera Credit Union	Canada	GIC's / Other
360 Provisus Wealth Management Limited	Canada	Pooled Fund
361 Purpose Investment Partners Inc. AHF Credit Opportunities Fund - Series A, F and I	Canada	Mutual Fund/Hedge Fund/Pooled Fund
362 Purpose Investments Inc.	Canada	Mutual Fund
363 Pursuit Financial Management Corp.	Canada	Mutual Fund
364 Putnam Investment Inc.	Canada	Mutual Fund
365 Quadrant Asset Management QAM Tracking Pools	Canada	Pooled Fund
366 Quantum Leap Asset Management Limited Quantum Leap Mortgage Investment Fund	Canada	Mutual Fund
367 QV Investors Inc. QV Pooled Funds	Canada	Pooled Fund
368 Qwest Investment Fund Management Ltd.	Canada	Mutual Fund / Limited Partnership
369 R.E.G.A.R. Gestion Privée Inc.	Canada	Mutual Fund
370 R.N. Croft Financial Group Inc.	Canada	Mutual Fund
371 Radin Capital Partners Inc. Radin Capital Partners	Canada	Pooled Fund
372 Raintree Wealth Management Inc. Raintree Tactical Fund Class A Raintree Absolute Fund Class A	Canada	Unit Trust
373 Ravenstone Capital Management Inc. Ravenstone family of funds	Canada	Pooled Fund
374 Rayne Capital Management Inc. Rayne Capital LP Rayne Capital Opportunities Fund LP Rayne Capital Opportunities Trust	Canada	Pooled Fund
375 RBC Dominion Securities Inc.	Canada	Linked Notes
376 RBC Global Asset Management Inc.	Canada	Mutual Fund/Hedge Fund/Pooled Fund
377 RBC Life Insurance Company	Canada	Segregated Fund
378 Research Capital Corporation The Absolute Resource Company L.P.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
Grafton Energy Growth Fund		
379 Resolute Funds Ltd.	Canada	Mutual Fund
380 Resolve Asset Management Inc.	Canada	Hedge Fund
381 Return on Innovation Advisors Ltd. Pooled Funds	Canada	Mutual Fund / Labor Sponsored Fund
382 RFA Bank of Canada	Canada	GIC's
383 Ridgewood Capital Asset Management Ridgewood Mutual	Canada	Mutual Fund
384 Roadmap Capital Inc.	Canada	Pooled Fund
385 Robson Capital Management Inc.	Canada	Hedge Fund
386 Rosalind Advisors, Inc. Rosalind Capital Partners L.P.	Canada	Limited Partnership
387 Ross Smith Asset Management ULC Ross Smith Cryptocurrency Investment Fund LP Ross Smith Capital Investment Fund Ross Smith Opportunity Fund	Canada	Mutual Fund / Limited Partnership
388 Royal & Sun Alliance Life Insurance Co. (Canada)	Canada	Segregated Fund
389 Royal Bank of Canada Royal Trust Company (The) Merchant Private Trust Royal Trust Corp. Connor Clark Private Royal Bank of Canada Royal Bank Mortgage Corp.	Canada	GIC's / Investment Savings Accounts
390 Royal Trust Company (The) The First American Fund T.A.L. Private Management Pooled Funds The Protected American Fund T.A.L. Investment Pooled Funds Classified Investment Trusts RT Capital Inc. First Trust Canada Funds	Canada	Mutual Fund
391 RP Investment Advisors LP	Canada	Mutual Fund / Hedge Fund
392 Russell Investments Canada Limited Russel Group mutual funds Life Points mutual funds Soverign mutual funds	Canada	Mutual Fund
393 Saguenay Strathmore Capital, L.P. Titan Masters Canada, L.P.	Canada	Limited Partnership
394 Sanford C. Bernstein & Co. LLC	U.S.	Mutual Fund
395 SBI Canada Bank	Canada	GIC's

Fund Manager Funds covered by agreement	Region	Type of Products
396 Scotia Capital Inc. Pinnacle family of funds - May 7-07 The Bank of Nova Scotia Structured Deposit Notes - Apr 26-07 Cassels Blaikie Canadian Fund (U.S.) Scotia Capital family of funds	Canada	Mutual Fund
397 Scotia Securities Inc.	Canada	Mutual Fund
398 Seamark Asset Management Ltd.	Canada	Mutual Fund / Pooled Fund
399 SEI Investment Canada Company	Canada	Mutual Fund
400 Seymour Investment Management Ltd. Family of funds managed by Seymour Investment Management Ltd.	Canada	Pooled Fund
401 Sherpa Asset Management Inc.	Canada	Mutual Fund
402 Shinhan Bank Canada	Canada	GIC's
403 SIA Wealth Management Inc. SIA Wealth Management Private Investment Pools	Canada	Pooled Fund
404 Silvercreek Management Inc. Silvercreek family of funds	Canada	Limited Partnership
405 Slate Securities L.P.	Canada	Pooled Fund
406 Spartan Fund Management Inc. Spartan Fund Management Inc. family of funds Thales Fund Management Inc. family of funds	Canada	Mutual Fund/Pooled Fund/Ltd. Partnership
407 Standard Life Assurance Company of Canada (The) Guaranteed Interest Rate Contracts Ideal Segregated Funds	Canada	GIC's / Segregated Fund
408 Standard Life Trust Company	Canada	Mutual Fund
409 Stanton Asset Management Inc.	Canada	Hedge Fund
410 Starlight Investments Capital LP Starlight group of funds	Canada	Mutual Fund
411 Steadyhand Investment Management Ltd. Steadyhand Global Equity Fund Steadyhand Savings Fund Steadyhand Income Fund Steadyhand Equity Fund Steadyhand Small Cap Equity Fund	Canada	Mutual Fund
412 Steepe & Co. Ltd. US Bridge Loan Fund Trust	Canada	Mutual Fund Trust
413 Steinbach Credit Union Limited GICs	Canada	GIC's
414 Stone Asset Management Limited	Canada	Mutual Fund

Fund Manager Funds covered by agreement	Region	Type of Products
415 StonePine Asset Management Inc.	Canada	Pooled Fund
416 Successful Investor Wealth Management Inc.	Canada	Pooled Fund
417 Sun Life Assurance Co. (Canada) Individual Variable Insurance Contracts	Canada	Segregated Fund
418 Sun Life Financial Trust Inc.	Canada	GIC's
419 Sun Life Global Investments (Canada) Inc./Placements mondial	Canada	Investment Funds
420 Sunshine Coast Credit Union	Canada	GIC's
421 T.I.P. Wealth Manager Inc.	Canada	Mutual Fund / Pooled Fund
422 Tacita Capital Inc. Private Pool	Canada	Pooled Fund
423 Tactex Asset Management x x x	Canada	Investment Funds
424 Tangerine Bank	Canada	GIC's / Investment Savings Accounts
425 Taylor Asset Management Inc. Taylor Partners Fund	Canada	Pooled Fund
426 TD Asset Management Inc.	Canada	Mutual Fund / Inv. Savings Account
427 TD Bank Financial Group The Canada Trust Company - GIC's TD Mortgage Corp. - GIC's TD Bank - GIC's & Linked Notes TD Pacific Mortgage Corp. - GIC's	Canada	GIC's / Linked Notes
428 TD Securities Inc.	Canada	Linked Notes
429 Tempered Investment Management Ltd. Tempered Global Value Fund	Canada	Pooled Fund
430 Terra Fund Management Ltd.	Canada	Mutual Fund / Limited Partnership
431 The Bank of Nova Scotia Trust Company ADS Canadian Bank	Canada	GIC's / Investment Savings Accounts
432 Third Eye Capital Management Inc. Third Eye Capital Alternative Credit Trust	Canada	Pooled Fund
433 Thornmark Asset Management Inc. Thornmark Investment Funds	Canada	Pooled Fund
434 Timelo Investment Management Inc. Timelo Strategic Opportunities Fund	Canada	Limited Partnership
435 TIS Preservation & Growth Fund/Accilent Capital Management. TIS Preservation & Growth Fund Class A and Class F Units	Canada	Mutual Fund
436 Tonus Capital Inc.	Canada	Mutual Fund

Fund Manager	Region	Type of Products
Funds covered by agreement		
Tonus Partners Fund		
437 Toronto Trust Management Ltd. Friedberg Mercantile Group family of funds	Canada	Hedge Fund
438 Tradex Management Inc.	Canada	Mutual Fund
439 Tralucet Asset Management Inc. Tralucet Global Equity Fund	Canada	Pooled Fund
440 Trapeze Asset Management Inc.	Canada	Pooled Fund
441 Traynor Ridge Capital Inc. TR1 Fund Ip	Canada	Limited Partnership
442 Trez Capital Corporation	Canada	Income Trust
443 Triasima Portfolio Management Inc.	Canada	Pooled Fund
444 TriDelta Investment Counsel Inc.	Canada	Pooled Fund
445 Triumph Asset Management Inc. Triumph Capital Appreciation Fund LP	Canada	Limited Partnership
446 True Exposure Investments, Inc. TruX Exogenous Risk Pool, Series F, P and O units	Canada	Mutual Fund
447 Turtle Creek Asset Management Inc.	Canada	Pooled Fund
448 UBS Asset Management (Canada) Inc./UBS (Canada) Global Mer UBS (Canada) Global Merger Arbitrage Fund	Canada	Pooled Fund
449 UBS Asset Management (Canada) Inc./UBS (Canada) Global Rea UBS (Canada) Global Real Estate Fund	Canada	Pooled Fund
450 Ullman Wealth Management Inc. Ullman Wealth Management Fund Family	Canada	Mutual Fund
451 Value Partners Investment Inc.	Canada	Mutual Fund
452 Value-Contrarian Asset Management Inc.	Canada	Mutual Fund
453 Vancity Investment Management Ltd. VCIM Bond Fund VCIM Short Duration Bond Fund VCIM Global Equity Fund VCIM Canadian Equity Fund VCIM Income Fund	Canada	Pooled Fund
454 Vancouver City Savings Credit Union	Canada	GIC's
455 Vanguard Investment Canada Inc.	Canada	Mutual Fund / Pooled Fund
456 Vantage Asset Management Inc. Vantage Protected Performance Fund Vantage Performance Fund	Canada	Pooled Fund
457 Venator Capital Management Ltd. Venator family of mutual funds	Canada	Hedge Fund

Fund Manager	Region	Type of Products
<i>Funds covered by agreement</i>		
458 Veritas Asset Management Inc. VAM V List Plus Fund VAM Signature Fund Veritas Long Short Fund	Canada	Pooled Fund
459 VersaBank	Canada	GIC's / Other
460 Vertex One Asset Management Inc.	Canada	Mutual Fund
461 Vesta Wealth Partners Ltd. Cerulean Private Equity Access Fund Vesta Enhanced Equity Fund	Canada	Mutual Fund
462 Viewpoint Investment Partners Corporation Viewpoint Global Fund Trust	Canada	Pooled Fund
463 Vision Capital Corporation	Canada	Hedge Fund
464 VL Advisors Inc.	Canada	Mutual Fund / Labor Sponsored Fund
465 W.A. Robinson Asset Management Ltd.	Canada	Pooled Fund
466 WARATAH Capital Advisors Ltd. Waratah fund family	Canada	Mutual Fund / Limited Partnership
467 WaveFront Global Asset Management Corp. WaveFront All-Weather Fund, LP	Canada	Pooled Fund / Limited Partnership
468 Waypoint Investment Partners Inc.	Canada	Pooled Fund
469 Wealhouse Capital Limited Partnership All funds managed by Wealhouse Capital Limited Partnership.	Canada	Mutual Fund / Pooled Fund
470 Wealth One Bank of Canada	Canada	GIC's / Investment Savings Accounts
471 WealthCo Asset Management Inc. WealthCo Pooled Funds WealthCo Asset Management Inc.	Canada	Pooled Fund
472 Wellington-Altus Private Counsel Ltd.		
473 Wells Asset Management System Inc.	Canada	Mutual Fund
474 West Face Capital Inc. WFC Opportunities Trust	Canada	Mutual Fund
475 Westcap Mgt. Ltd. Golden Opportunities Fund Inc.	Canada	Mutual Fund
476 Westminster Savings Credit Union	Canada	Mutual Fund / Segregated Fund / GIC's
477 Whitehaven Asset Management Inc.	Canada	Mutual Fund / Pooled Fund
478 Williams Lake & District Credit Union	Canada	GIC's
479 Windsor Family Credit Union	Canada	GIC's

Fund Manager	Region	Type of Products
Funds covered by agreement		
480 XIB Asset Management Inc. XIB Fund	Canada	Unit Trust
481 Yorkville Asset Management Inc. Yorkville Mutual Funds Yorkville Health Care Fund	Canada	Mutual Fund
482 YTM Capital Asset Management Ltd.	Canada	Mutual Fund
483 Zag Bank	Canada	GIC's / Investment Savings Accounts

Note:

One of the ways for book entry investment products (including investment funds, guaranteed investment certificates and other evidence of deposits) issued by a company or its agent to be considered an acceptable securities location, is for the company or its Agent to sign a custody agreement with the IIROC outlining the terms upon which such securities are deposited and including provisions that no use or disposition of the securities shall be made without the prior written consent of the Member and the securities can be delivered to the Member promptly on demand. IIROC has executed these agreements on behalf of SRO members in order to reduce the need for the company or its Agent to enter into individual agreements with each Member it deals with.

Another way for the company or its Agent to be considered an acceptable securities location is for the Member to have a custody agreement, which conforms to SRO by-laws directly with the company or Agent

Unless otherwise indicated, a mutual fund company / fund manager on this listing has signed an agreement only for its family of funds. Where the mutual fund company / fund manager administers a third party mutual fund it must sign a separate agreement. Where this is the case, the listing will indicate the third party mutual fund(s) covered by the agreement.

* The agreement remains valid for regulatory purposes during the period in which the custodian is in receivership

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Additions to List Since January 1, 2022

Fund Manager Funds covered by agreement	Region	Type of Products	Date Approved
Mortgage Company of Canada Inc.	Canada	Mortgage Investment Fund	1/14/2022
True Exposure Investments, Inc. TruX Exogenous Risk Pool, Series F, P and O units	Canada	Mutual Fund	1/19/2022
Bastion Asset Management Inc.	Canada	Investment Funds	1/31/2022
Arxnovum Investments Inc. Arxnovum Bitcoin Fund	Canada	Pooled Fund	2/25/2022
Grayhawk Investment Strategies Inc. Grayhawk Core Pool Grayhawk Global Growth Pool Grayhawk Fixed Income Pool Grayhawk Opportunistic Alternatives Pool Grayhawk Structural Alternatives Pool	Canada	Pooled Fund	2/28/2022
Whitehaven Asset Management Inc.	Canada	Mutual Fund / Pooled Fund	5/18/2022
UBS Asset Management (Canada) Inc./UBS (Canada) UBS (Canada) Global Real Estate Fund	Canada	Pooled Fund	6/1/2022
Forum Asset Management Inc.	Canada	Mutual Fund	6/24/2022
Portfolio Stewards Inc. Stewardship Alternative Income Fund	Canada	Mutual Fund	7/26/2022
Brookfield Public Securities Group LLC/Brookfield R Brookfield Real Estate Income Corp.	U.S.	Other	7/28/2022
Brookfield Public Securities Group LLC Oaktree Strategic Credit Trust (Canadian Feeder)	U.S.	Mutual Fund	8/25/2022
Langdon Equity Partners Ltd.	Canada	Mutual Fund	8/25/2022
Triasima Portfolio Management Inc.	Canada	Pooled Fund	8/26/2022

Fund Manager Funds covered by agreement	Region	Type of Products	Date Approved
Aligned Capital Partners Inc.	Canada	Mutual Fund	10/11/2022
The Bank of Nova Scotia Trust Company ADS Canadian Bank	Canada	GIC's / Investment Savings Accounts	10/28/2022
iCapital Network Canada Ltd.	Canada	Pooled Fund	11/28/2022
Neuberger Berman Canada ULC NB Speciality Finance Income Fund	Canada	Pooled Fund	12/1/2022
StonePine Asset Management Inc.	Canada	Pooled Fund	12/12/2022



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Deletions from List since January 1, 2022

Fund Manager	Region	Type of Products	Date Removed	Reason of Removal
<i>Funds covered by agreement</i>				



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Name Changes and Mergers since January 1, 2022

Fund Manager	Region	Type of Product	Date	Comments
<i>Funds covered by agreement</i>				
Relevance Wealth Management Inc.	Canada	Mutual Fund	6/24/2022	Name changed to Forum Asset Management Inc.
Relevance Diversified Credit Fund				
Duo Bank of Canada	Canada	GIC's	7/27/2022	Name changed to Fairstone Bank of Canada
XIB Fund				
Strathbridge Asset Management Inc.	Canada	Mutual Fund	8/31/2022	Name changed back to Mulvihill Capital Management Inc.
ADS Canadian Bank	Canada	GIC's / Investment Savings Accounts	10/28/2022	See The Bank of Nova Scotia Trust Company
iCapital IFM, LLC	Canada	Pooled Fund	11/28/2022	Name changed to iCapital Network Canada Ltd.