

Summon's Form

District:

IN THE MATTER OF

THE INVESTMENT DEALER RULES AND PARTIALLY CONSOLIDATED RULES

AND

SUMMONS TO WITNESS

TO:

YOU ARE REQUIRED TO ATTEND TO GIVE EVIDENCE at the hearing of this matter on
at _____, at
and may be required to remain thereafter until your attendance is no longer required.

YOU ARE REQUIRED TO BRING WITH YOU and produce at the hearing the following documents
and things:

**IF YOU FAIL TO ATTEND AND GIVE EVIDENCE OR PRODUCE THE DOCUMENTS OR THINGS
SPECIFIED, WITHOUT LAWFUL EXCUSE, AS REQUIRED BY THIS SUMMONS, AN ORDER MAY BE
ISSUED AGAINST YOU BY THE COURT IN THE SAME MANNER AS IF YOU WERE IN BREACH OF
AN ORDER OR CONTEMPT OF THAT COURT.**

Dated at _____, this _____ day of _____, _____.

Hearing Panel

NOTE

You are entitled to be represented by counsel when you attend.

This summons was issued at the request of, and inquiries may be directed to:

The _____ intends to examine you in the _____ language. You are entitled to respond in that language or the other official language. If you intend to respond in the other official language, an interpreter may be required and you must so advise the National Hearing Officer immediately.

The attendance money tendered to you with this Summons is calculated as follows:

- (a) daily allowance \$ _____
- (b) travel allowance \$ _____
- (c) overnight accommodation allowance \$ _____

The above fees are proper attendance money for _____ day(s) of attendance and, if further attendance is required, you will be entitled to additional fees.