

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

AND

KRYSTAL DAWN MORRISON

NOTICE OF APPLICATION FOR SETTLEMENT HEARING

The Investment Industry Regulatory Organization of Canada (IIROC) will hold a hearing to consider whether to accept a Settlement Agreement entered into between staff of IIROC (“Staff”) and Krystal Dawn Morrison pursuant to IIROC Rule 8428.

The settlement hearing will be held by a way of videoconference on December 6, 2022, at 1:00 p.m. (CT)

METHOD OF HEARING

The settlement hearing will be conducted as a[n]:

☒ ELECTRONIC HEARING BY WAY OF VIDEOCONFERENCE

THE SETTLEMENT AGREEMENT

The Settlement Agreement addresses proposed allegations that Ms. Morrison violated IIROC Rule 1400 by failing in her obligations regarding the proper execution of client documents, resulting in the collection, possession, and use of Pre-Signed client forms.

THE SETTLEMENT HEARING

The hearing is not open to the public, but the public will be advised whether the Settlement Agreement has been accepted or rejected. The Settlement Agreement will be made public if it is accepted by the Hearing Panel together with the Hearing Panel's reasons for acceptance.

DATED this 10 day of November, 2022.

"NATIONAL HEARING OFFICER"

NATIONAL HEARING OFFICER
Investment Industry Regulatory Organization of Canada
Suite 2000, 121 King Street West
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