

**Appendix D – Background relating to IIROC’s Proficiency Assurance:
The Next Phase in Proficiency Regulation consultation**

- Notice 14-0181 ([Proficiency Assurance: The Next Phase Consultation Relating to Expiry of CSI Contract](#)) – July 2014
- Notice 15-0215 ([Proficiency Assurance: The Next Phase in Proficiency Regulation](#)) – September 2015