

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

AND

BARDYA ZIAIAN

NOTICE OF MOTION FOR SETTLEMENT HEARING

Staff of the Investment Industry Regulatory Organization of Canada (IIROC) will ask an IIROC hearing panel to conduct a hearing and accept a Settlement Agreement that it has entered into with Bardya Ziaian, pursuant to section 8428 of the IIROC Rules in this matter.

The settlement hearing will be held on **May 20, 2022 at 10:00 a.m. (ET)**

METHOD OF HEARING

The settlement hearing will be conducted as an:

ELECTRONIC HEARING VIA VIDEOCONFERENCE

THE SETTLEMENT AGREEMENT

The Settlement Agreement relates to a Notice of Hearing that was issued in this matter on August 1, 2019 and would settle proposed allegations that Bardya Ziaian failed to make *bona fide* offerings of new issues to public investors in circumstances where he ought to have known that the expressions of interest were not *bona fide*, contrary to Dealer Member Rule 29.3.

THE SETTLEMENT HEARING

The hearing is not open to the public, but the public will be advised whether the Settlement Agreement has been accepted or rejected. The Settlement Agreement will be made public if it is accepted by the Hearing Panel together with the Hearing Panel's reasons for acceptance.

DATED this 10 day of May 2022

"National Hearing Officer"

NATIONAL HEARING OFFICER
Investment Industry Regulatory Organization of Canada
Suite 2000, 121 King Street West
Toronto, Ontario, M5H 3T9