



## **IIROC PREHEARING CONFERENCE FORM**

**Date Submitted:**

**In the Matter of:**

**Party sending the Pre Hearing Conference Form:**

**Date of Pre Hearing Conference:**

**Counsel for Enforcement Staff:**

**Is Respondent represented by Counsel?**

**Counsel for Respondent:**

**Background Information:**

1. Notice of Hearing/Notice of Application (Attached)
2. Response (Attached)
3. Provide detail regarding any issues which have already been agreed upon by the parties (i.e, disclosure, evidence, timetables, etc.)
  
4. Has disclosure been provided?
  
5. If known, provide a list of the witnesses that each party anticipate calling at the hearing.



6. Will any summonses be required?

7. Have any expert reports been delivered?

If not, are any expert reports contemplated?

8. Are any motions currently contemplated?

If so, provide detail regarding the nature of the motion, the order sought, the requested date for the motion and expected duration of argument of the motion.

9. How long will the hearing last?

10. Provide a copy of any document(s) that would assist in making the prehearing conference more effective and efficient.