



Appendix A - Text of Amendments to Universal Market Integrity Rules

The Universal Market Integrity Rules are hereby amended as follows:

1. Definition of “order execution service” in UMIR 1.1 is amended by:
 - a. Replacing “Dealer Member Rule 3200 – *Minimum Requirements for Dealer Members Seeking Approval under Rule 1300.1(t) to offer an Order-Execution Only Service*” with “Part D of IIROC Rule 3200 – *Order Execution Only Accounts*”.
2. Subparagraph (a)(iv) of subsection 6.2(1) is amended by:
 - a. Replacing “Dealer Member Rule 3200” with “IIROC Rule 3241”.
3. Part 5 of Policy 6.4 is amended by:
 - a. Replacing “Dealer Member Rules” with “IIROC Rules”
 - b. Replacing “Dealer Member Rule 3300” with “*Part C of IIROC Rule 3100 – Best Execution of Client Orders*”.
4. Part 3 of Policy 7.1 is amended by:
 - a. Replacing “Dealer Member Rule 3300” with “*Part C of IIROC Rule 3100 – Best Execution of Client Orders*”.
5. Part 4 of Policy 7.1 is amended by:
 - a. Replacing “and Dealer Member Rule 3300” with “and *Part C of IIROC Rule 3100 – Best Execution of Client Orders*”.
6. Part 1 of Policy 8.1 is amended by:
 - a. Replacing “Dealer Member Rule 3300” with “*Part C of IIROC Rule 3100 – Best Execution of Client Orders*”.
7. Paragraph (e) of subsection 10.16(1) is amended by:
 - a. Replacing “Dealer Member Rule 3300” with “*Part C of IIROC Rule 3100 – Best Execution of Client Orders*”.