



Appendix D – Alternate Activities

Below is a non-exhaustive list of alternate activities that may qualify for CE credit:

Activity	Qualifies for Continuing Education Credit	
	YES	NO
Conduct, Compliance and Legal Advisory Section (CCLS) meetings	✓*	
Proficiency Committee meetings	✓	
Fixed Income Committee meetings	✓*	
Market Rules Advisory Committee meetings	✓*	
Financial and Operations Advisory Section (FAS) meetings	✓*	
All Sub-committee meetings	✓*	
FAS Conference	✓*	
CCLS Education Sub-committee Conference and Breakfast Seminars	✓*	
Hearing Committee Panel participation		x
Hearing Committee Training	✓*	
Board Meetings		x
IIROC's Compliance Conference	✓*	
District Council Meetings	✓	
District Council Registration Subcommittee Training	✓*	



National Advisory Committee Meetings	✓	
Teaching courses related to investment dealer business	✓	
Writing books or articles related to investment dealer business	✓	
Presenter at a conference/seminar/webinar related to investment dealer business	✓	
Completing a course at a university or college related to investment dealer business	✓	
Watching financial news – e.g. BNN		✗
IIROC webcasts	✓*	
Mentoring or providing advisor support		✗

*On application to IIROC for accreditation