

Appendix C – Text of the IIROC Rules to Reflect the Amendments

Text of the IIROC Rules Marked to Reflect Adoption of the Amendments

Text of the IIROC Rules Following Adoption of the Amendments

7203. Reporting requirements

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(6) Transaction reports made under subsection 7203(1) must accurately and completely reflect the reported transaction and must contain the following data elements relevant to a bond or *repurchase agreement* transaction, as applicable:

transaction, as applicable.		
No.	Data	Description
14.	CLIENT LEI	The Legal Entity Identifier
		of the client supervised as
		an institutional client.
15.	CLIENT	The account number of
	ACCOUNT	the client supervised as a
	IDENTIFIER	retail client.

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