

Appendix B – Text of the Dealer Member Rules to Reflect Proposed Amendments

•••

Text of Dealer Member Rules Marked to Reflect Adoption of the Proposed Amendments

2.4 Information Required on Transaction Reports

(c) Each transaction report must contain the following data elements relevant to a bond or Repo transaction as applicable:

No.	Data	Description
14.	CUSTOMER	The LEI of the Institutional
	LEI	Customer supervised as
		an Institutional Customer.
15.	CUSTOMER	The account number of
	ACCOUNT	the <u>Retail</u> <u>Ccustomer</u>
	IDENTIFIER	supervised as a Retail
		<u>Customer</u> .

Text of Dealer Member Rules Following Adoption of the Proposed Amendments

2.4 Information Required on Transaction Reports

 (c) Each transaction report must contain the following data elements relevant to a bond or Repo transaction as applicable:

No.	Data	Description
14.	CUSTOMER	The LEI of the customer
	LEI	supervised as an
		Institutional Customer.
15.	CUSTOMER	The account number of
	ACCOUNT	the customer supervised
	IDENTIFIER	as a Retail Customer.

IIROC Notice 19-0118 – Rules Notice – Request for Comment – DMR – Proposed Amendments Respecting Client Identifiers for Reportable Debt Transactions