

NOTICE OF PRE HEARING CONFERENCE
Rule 8416(2)

(Please ensure you have also submitted a Pre Hearing Conference Date Request Form)

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION
OF CANADA (IIROC)**

AND

A Pre Hearing Conference has been scheduled in this matter.

Date: _____

Location:

Time: _____

Expected Duration: _____

Purpose: _____

Proposed Method of Hearing:

Has any Order been made with respect to this Pre Hearing Conference? If so, attach the relevant Order and describe, in detail, below:

NOTE:

The parties may be represented by counsel or an agent who, if a party is not required to attend, must have authority to make agreements and undertakings on the party's behalf.

If a party does not attend in person or by counsel or an agent, the hearing panel may proceed with the prehearing conference in the party's absence.

Any orders made by the hearing panel will be binding on the parties.¹

¹ 8416(2)