

Rule 300.2

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 3496 — By-Laws and Regulations — Amendments to Regulations 100.15 and 300.2 Regarding Customer Account Guarantee Agreements (January 3, 2006)

>Member Regulation Notices (1)

MR0033 — "Other" Foreign Acceptable Securities Locations (June 6, 2000)

>IROC Notices (1)

16-0262 — Amendments to Dealer Member Rule 300.2(a)(vii) regarding the audit requirement to send second positive confirmation requests (November 10, 2016)