



MEMBER REGULATION



notice

ATTENTION:
Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Please distribute internally to:
Corporate Finance
Registration
Legal & Compliance

Wendyanne D'Silva
Director, Registration
(416) 865-3032
wdsilva@ida.ca

MR0499

October 22, 2007

Supervision Reports and Late Filing Fees – Electronic Filings

As announced in Member Regulation Notice 353 dated June 20, 2005, the IDA allows Members to file supervision reports electronically by fax or e-mail. As per MR 353, the completed originals must be retained by the Member for at least six years, with the last three months' reports readily available to the IDA.

Registration Fax Numbers:

Ontario & Atlantic Office	(416) 364-9177
Pacific Office	(604) 683-3491
Prairie Office	(403) 265-4603
Quebec Office	(514) 878-0797

In addition to the above fax numbers reported in MR0353, the IDA has created the following email addresses which members may use to send signed Supervision Reports via e-mail:

E-mail addresses:

Ontario Office	OntarioReports@ida.ca
Atlantic Office	AtlanticReports@ida.ca
Pacific Office	PacificReports@ida.ca
Prairie Office	PrairieReports@ida.ca
Québec Office	QuebecReports@ida.ca

This Member Regulation Notice applies only in those situations in which the firm is required to file the supervision reports to the IDA, and does not refer to the supervision of newly approved individuals under By-law 18.6.

As per Member Regulation Notice 123, the IDA charges a late filing fee of \$100 per business day for each Supervision Report filed after the 10th business day of the following month.