

Table 1: Trade types in CDSX¹.

	Trade type	Code	Description	Trade class
1	Agency	A	A dealer is the go-between for a client and an institution and acts on the client's behalf to purchase a security	Non-exchange
2	Allotment	ALT	Allots a CNS outstanding position to a non-exchange trade assigned by CDSX	Non-exchange
3	Account transfer	AT	Moves a client's account from one participant to another through free or for funds delivery	Non-exchange
4	Client	C	A trade between a broker and a settlement agent who have the same client	Non-exchange
5	Delivery	DLV	Assigned by CDSX to delivery orders initiated by an external source between CDS and another international clearing organization	Non-exchange
6	Direct participant loans	DPL	A trade that lends securities	Non-exchange
7	Fail claims	FC	A claim for costs incurred when a trade fails to settle	Non-exchange
8	Dividend claims	FD	A claim for dividends on security lending transactions for entitlement processing that are not handled by CDSX	Non-exchange
9	Expired rights and warrants	FE	Subscription cost in exercising the right or warrant when a participant fails to deliver on a trade and the counterparty asks the participant to exercise the right or warrant	Non-exchange
10	Security lending fees	FL	Fees associated with lending securities.	Non-exchange
11	Repurchase agreement rollovers	FR	An extension to a trade settlement date	Non-exchange
12	Non-ATON account transfers	FT	Moves funds positions from one participant to another when it is not possible to use ATON	Non-exchange
13	Crossing cash differences	FX	Counterparties sell the same security to each other but do not have the security to settle causing deletion of the two trades and the movement of the cash difference	Non-exchange
14	Margin delivered for repurchase and call loans	FM	Mark-to-market payments (cash or collateral) between participants	Non-exchange
15	NSCC balance order activity	IBO	A trade entered by a participant that is related to NSCC balance orders.	Non-exchange

	Trade type	Code	Description	Trade class
16	New issue	NI	New issue allotments	Non-exchange
17	Principal	P	A trade between two participants who are trading money market instruments for their own accounts	Non-exchange
18	Purchase resale agreement	PRA	A transaction where one organization buys securities from a dealer with an agreement to resell them at a later date and a previously agreed upon price	Non-exchange
19	Reclaim trades	RCL	A trade that reclaims funds or securities	Non-exchange
20	Repurchase agreement	RPA	A transaction where one organization sells securities to another and agrees to repurchase them at a later date (also known as a "repo")	Non-exchange
21	Special purchase agreement	SPR	A form of financing provided to selected Canadian investment dealers where the Bank of Canada buys Government of Canada securities from a dealer with an agreement to resell them the next business day at a price set at the Bank's discretion	Non-exchange
22	Special repurchase agreement	SRA	A form of financing provided to selected Canadian investment dealers where the Bank of Canada sells a security to a dealer at a previously agreed upon price	Non-exchange
23	Direct participant trade	DP	A trade between two participants who are trading securities for their own accounts	Exchange or non-exchange
24	Correction	X	A correcting transaction that either creates a new trade or modifies an existing trade	Exchange or non-exchange
25	Buy-in adjustment	BIA	An adjustment to wash out a buy-in execution	Exchange
26	Mandatory cash	MC	A cash trade that usually settles through trade-for-trade settlement	Exchange
27	Miscellaneous adjustment	MX	A correcting transaction that modifies an existing trade	Exchange
28	Foreign miscellaneous adjustment	FMX	A foreign netting money adjustment for a special trade (SP)	Exchange

1. Source: <http://www.cds.ca/documentation/external070615/tse/tsetradetypes.html>