



# notice



ATTENTION:  
Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

Please distribute internally to:  
Credit  
Internal Audit  
Legal & Compliance  
Operations  
Regulatory Accounting  
Retail  
Senior Management  
Trading Desk

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**MR0447**

January 23, 2007

## **List of Other Foreign Securities Depositories or Clearing Agencies**

### **List of Other Foreign Securities Depositories or Clearing Agencies**

This notice is intended to assist Member firms in assessing their capital requirements and managing operations by naming “Other Foreign Depositories and/or Clearing Agencies” that meet the criteria set out in definitions (d)1.(c) of General Notes and Definitions to the Joint Regulatory Financial Questionnaire and Report (Form 1). This listing, however, is not intended to be exhaustive and Members are required to substantiate the requirements in the General Notes and Definitions to Form 1 if the foreign securities depositories or clearing agencies are not included in this listing.

In addition, Members are required to monitor and evaluate the impacts in their ongoing credit risk assessment and other risk management activities if there are any further reorganization, merger or other changes in the entities included on this list.

**LIST OF OTHER FOREIGN SECURITIES DEPOSITORY OR  
CLEARING AGENCIES**

**“LIST OF OTHER FOREIGN SECURITIES DEPOSITORIES OR  
CLEARING AGENCIES THAT QUALIFY UNDER THE DEFINITION  
SET OUT IN DEFINITIONS (d)1.(c) OF THE GENERAL NOTES AND  
DEFINITIONS TO FORM 1**

[UPDATED AS AT JANUARY 9, 2007]

Other Foreign	Euroclear Belgium
	Euroclear France SA
	Euroclear Netherlands
	CRESTCo Limited
	Clearstream International S.A. - Germany
	Iberclear - Spain
	Monte Titoli - Italy
	S.A. Caja de Valores (Stock Clearing Corporation - Argentina)
	SEGA (Swiss Securities Clearing Corporation)
	Hong Kong Securities Clearing Company”