



MEMBER REGULATION



notice

ATTENTION:
Ultimate Designated Persons
Chief Financial Officers
Panel Auditors

Please distribute internally to:
Registration

Contact:

Wendyanne D'Silva
Director, Registration
(416) 865-3032
registration@ida.ca

MR0421

September 20, 2006

Notices regarding Individual Approvals

Effective immediately, the IDA will no longer mail the following notices to an Approved Persons' home address, but will continue to send these notices to the sponsoring member firm via email. Each member firm is asked to ensure that a copy of the notice is provided to the individual.

- Notice of Individual Approval *
- Notice of Change or Surrender of Category for an Approved Person *
- Notice of Suspension of an Approved Person
- Notice of Exemption Approved / Refused
- Notice of Terms and Conditions Imposed / Removed

* Please note that upon granting of registration under the Quebec Securities Act, the IDA's Quebec office is required to send an AMF Decision Letter to the individual's home address, for certain registration categories and will continue to do so as it is a requirement under the Quebec Securities Act.

In addition, in the provinces of Alberta, British Columbia, Ontario and Quebec, the IDA has been delegated the authority to grant registration under the Securities Act. The granting of registration to an individual on a non-resident basis, who has already received IDA approval in their home jurisdiction, will be documented via a brief email sent to the sponsoring member firm except for Quebec, where an AMF Decision Letter will continue to be required and sent to the Approved Person and the firm. Each member firm is asked to ensure that notification of these non-resident approvals is distributed to the Approved Person.