



## MEMBER REGULATION



# notice

ATTENTION:  
Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

Please distribute internally to:  
Credit  
Institutional  
Internal Audit  
Legal & Compliance  
Operations  
Regulatory Accounting  
Senior Management

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**MR0414**

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### **List of Recognized Exchanges and Associations for the Purposes of Determining Entities that Qualify as Regulated Entities**

Please find attached a revised list of recognized exchanges and associations that meet the criteria stipulated in the definition of “regulated entities” in the General Notes and Definitions to the Joint Regulatory Questionnaire and Report. This list has been updated to include United Kingdom Financial Services Authority Category A Dealers. It replaces the previous list issued as part of Member Regulation Notice MR0364 and is effective immediately.