

## MEMBER REGULATION



INVESTMENT DEALERS  
ASSOCIATION OF CANADA

# notice



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

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**MR0260**

December 19, 2003

ATTENTION:  
Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

**Distribute -internally to:**

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

### Regulatory Filing Deadlines

The following is a list of effective deadlines of the Monthly Financial Reports for 2004 using the 20 business day rule.

**Month-End Date**

January 31, 2004  
February 29, 2004  
March 31, 2004  
April 30, 2004  
May 31, 2004  
June 30, 2004  
July 31, 2004  
August 31, 2004  
September 30, 2004  
October 31, 2004  
November 30, 2004  
December 31, 2004

**Filing Deadline**

February 27, 2004  
March 26, 2004  
April 29, 2004  
May 31, 2004  
June 28, 2004  
July 29, 2004  
August 30, 2004  
September 29, 2004  
October 29, 2004  
November 26, 2004  
December 30, 2004  
January 31, 2005

The above dates have been determined taking into consideration Canadian statutory holidays. Should there be provincial statutory holidays that your firm observes (i.e., your firm's offices are closed for business on that day), the deadline may be adjusted to reflect these holidays. Please be reminded that delays in the SIRFF electronic filing will trigger a late filing fee of \$100 per day. The SIRFF electronic stamp date of original MFR submission by firms will be the basis for late fee calculation.