

## MEMBER REGULATION



INVESTMENT  
DEALERS  
ASSOCIATION  
OF CANADA



ASSOCIATION  
CANADIENNE DES  
COURTIERS EN  
VALEURS MOBILIÈRES

# notice

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### ***Deterring Money Laundering Activity: A Guide for Investment Dealers***

The IDA's new publication, *Deterring Money Laundering Activity: A Guide for Investment Dealers* is now available on the website, at [www.ida.ca](http://www.ida.ca), under *Regulation, Money Laundering*. This guide highlights the key elements for a Canadian investment dealer to consider in developing an effective anti-money laundering program.

This is a critical time in the development of anti-money laundering programs. Requirements for client identification have existed for securities firms since 1993. Recent amendments to the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act 2001* and new Regulations thereunder have added suspicious transaction reporting, expanded client identification procedures and required reporting of large cash transactions. Further additions and changes are to be implemented in the next year.

Given the importance of this subject matter, the Anti-Money Laundering Committee is publishing this Guide at this time in order to help firms implement or enhance their anti-money laundering programs. The Guide will be updated as required.

The IDA trusts this Guide will prove helpful to you as you implement your anti-money laundering programs, policies and procedures.

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