

# MEMBER REGULATION



INVESTMENT  
DEALERS  
ASSOCIATION  
OF CANADA



ASSOCIATION  
CANADIENNE DES  
COURTIERS EN  
VALEURS MOBILIÈRES

# notice

Contact:

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**MR0152**

July 15, 2002

ATTENTION:  
Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

## Distribute internally to:

- Corporate Finance
- Credit
- Institutional
- Internal Audit
- Legal & Compliance
- Operations
- Registration
- Regulatory Accounting
- Research
- Retail
- Senior Management
- Trading desk
- Training

## IDA PACIFIC DISTRICT FEE SCHEDULE

*Effective July 1, 2002*

### NEW REGISTRATION (common expiry date of December 31, 2002)

◆ <u>Resident</u>	
July 2002	125.00
August 2002	105.00
September 2002	85.00
October 2002	65.00
November 2002	45.00
December 2002	20.00
◆ <u>Non-resident</u>	
July 2002	105.00
August 2002	85.00
September 2002	65.00
October 2002	45.00
November 2002	25.00
December 2002	0.00

\*\*\*If a Corporate Amendment (Form 31-905F) is required due to branch manager, officer, director, partner category requested, please add \$100 to the fee above.\*\*\*

### YUKON REGISTRATION

◆ Yukon Registration (not currently registered in BC)	20.00
◆ Transfer	10.00
◆ Change of Status	10.00

\*\*\*Yukon registration/transfer/change of status is only for residents of the Yukon.\*\*\*

TORONTO Suite 1600, 121 King Street West, Toronto, Ontario M5H 3T9 Telephone: (416) 364-6133 Fax: (416) 364-0753  
CALGARY Suite 2300, 355 Fourth Avenue S.W., Calgary, Alberta T2P 0J1 Telephone: (403) 262-6393 Fax: (403) 265-4603  
HALIFAX Suite 1620, TD Centre, 1791 Barrington Street, Halifax, Nova Scotia B3J 3K9 Telephone: (902) 423-8800 Fax: (902) 423-0629  
MONTRÉAL Suite 2802, 1 Place Ville Marie, Montréal, Québec, H3B 4R4 Téléphone: (514) 878-2854 Télécopieur: (514) 878-3860  
VANCOUVER Suite 1325, P.O. Box 11614, 650 West Georgia Street, Vancouver, B.C. V6B 4N9 Telephone: (604) 683-6222 Fax: (604) 683-3491

**CHANGE OF STATUS**◆ **Non-Trading**

No corporate amendment	10.00
With a corporate amendment	110.00

◆ **Trading**

Category Change	110.00
Adding options only	10.00

**TRANSFERS**

July 2002	115.00
August 2002	95.00
September 2002	75.00
October 2002	55.00
November 2002	35.00
December 2002	10.00

**\*\*\*If a Corporate Amendment (Form 31-905F) is required due to branch manager, officer, director, partner category requested, please add \$100 to the fee above.\*\*\***

**TERMINATION**

Within 10 business days	0
Late – per day fee	100.00/day
Termination of an Officer, Branch Manager, Director (corporate amendment)	100.00

**RENEWALS – Individuals**

July 2002	105.00
August 2002	85.00
September 2002	65.00
October 2002	45.00
November 2002	25.00
December 2002	0.00

**RENEWALS – Investment Dealers**

July 2002	1,045.00
August 2002	835.00
September 2002	625.00
October 2002	420.00
November 2002	210.00
December 2002	0.00

**RENEWALS – Underwriters**

July 2002	210.00
August 2002	170.00
September 2002	125.00
October 2002	85.00
November 2002	45.00
December 2002	0.00

**RENEWALS – Branches** (fee is per branch) 50.00

**Branch Opening/Closing** 100.00  
**Copy of File** 20.00