

## MEMBER REGULATION



INVESTMENT  
DEALERS  
ASSOCIATION  
OF CANADA



ASSOCIATION  
CANADIENNE DES  
COURTIERS EN  
VALEURS MOBILIÈRES

# notice

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**MR-120**

*December 3, 2001*

ATTENTION:  
Ultimate Designated Persons  
Chief Financial Officers  
Panel Auditors

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### **“Evidence of Deposits” and Custody Agreements**

Member Regulation Notice MR-080 issued August 14, 2001 sets out the regulatory rules for written custody agreements as they relate to certificated or non-certificated securities commonly referred to as GICs, term deposits and other forms of deposit investment contracts issued by financial institutions that are not negotiable and/or transferable.

Please be advised that the effective date for implementation of these rules set out in MR-080 is extended to February 1, 2002 from December 1, 2001.

Attached is an updated listing of financial institutions that have executed custody agreements with the CIPF, acting as bare trustee on behalf of member firms.

Please refer to Member Regulation Notice MR-080 for detailed information regarding the application of the capital and margin rules.

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