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For distribution to relevant parties within your firm

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Educational Web Cast for Branch Managers & Advisors

Regulatory Issues: Non-Canadian Resident Accounts and Trusts: A Primer For Advisors

Each fall, thousands of Canadians make their plans to head south in search of warmer weather. As soon as these clients take residence outside of Canada, often for months at a time, regulatory and jurisdictional issues arise that an advisor needs to be aware of.

To increase knowledge of these issues Member Services will be offering an educational Web Cast entitled, “Regulatory Issues: Non-Canadian Resident Accounts and Trusts: A Primer For Advisors” on December 11, 2007 in English, at 4pm EST and December 12, 2007 in French at 4:00 EST

Grant Vingoe, a Partner with the Manhattan law firm of Arnold and Porter, and an expert on this subject matter has agreed to be our featured presenter for the English session. The session will address:

- Jurisdictional rules regarding taking trading instructions from someone physically located outside Canada;
- Issues regarding executing trading instructions, sending cheques to clients or sending cheques to a third party on the directions of a client while residing out of the country;
- Rules regarding non-residents ;
- Dealing with Canadians who have an US address and may be out of the country for awhile; and
- US jurisdictional issues to be aware of.

For those experiencing a scheduling conflict, a replay of the web cast will be made available. Each part of the web cast will be 45 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE credit) which can be applied against fulfilling their compliance requirement.

To keep informed of upcoming Member Services events, simply subscribe to the IDA’s e-publications service at www.ida.ca and select the following categories: “Advisor Educational Web Casts” and “Events – Educational Events and Seminars.” E-publications is a free, self-managed

system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.

Additional information about the program as well as the Registration forms are available at http://www.ida.ca/Media/Events_en.asp

Kenneth A. Nason
Association Secretary