INVESTMENT DEALERS ASSOCIATION OF CANADA

bulletin

ASSOCIATION CANADIENNE DES COURTIERS EN VALEURS MOBILIÈRES

For distribution to relevant parties within your firm

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Branch Manager and Advisor Educational Web Cast

Dealing with older clients raises unique issues which confront advisors more often as the general population ages. This can involve difficult situations involving clients and their relatives. Advisors have to balance providing professional advice that is in the best interest of their clients, potential conflicts between clients and other involved persons, the danger of being sued and the need to adhere to the appropriate ethical standards. Advisors can balance all of these challenges with a little education and forethought.

Join us for a two-part IDA Member Branch Manager & Advisor Web Cast Conference Call, November 7 and November 22 at 2:00 p.m. EST (English) and 3:00 p.m. (French), to discuss ***Incapacity: Advisor Roles and Responsibilities*** with lawyers John Poyser in English and André Barette in French. Listen, Learn and Ask Questions in the convenience of your office or home by calling into our conference call line. Participants will require a pc with internet access for the web presentation.

Call #1 will include content on understanding incapacity, your legal responsibility and your ethical and professional duties. Call #2 will cover being effective at the bedside and bringing in help.

Participants will be eligible for two hours of Continuing Education Credits (CE Credits) to use towards fulfilling their compliance requirement. Participants must fax in their registration form by November 3 and submit their case study after both calls in order to receive confirmation of their CE credits.

Additional information about the program as well as the Registration form are available at http://www.ida.ca/Media/Events_en.asp.

Kenneth A. Nason
Association Secretary