



INVESTMENT DEALERS  
ASSOCIATION OF CANADA

# bulletin



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

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**BULLETIN #3498**  
January 11, 2006

## Public Affairs

### Canada's 4<sup>th</sup> Annual SRO Conference, January 26 & February 2, 2006: *Current Issues in SRO Compliance*

On January 26 and February 2, 2006, Canada's Self-Regulatory Organizations (the IDA, the MFDA, the ME and RS) with the participation of CIPF, will host two one-day conferences for securities industry participants.

The conference will again be offered both in Toronto at the Metro Toronto Convention Centre and in Vancouver at the Morris J. Wosk Centre for Dialogue at Simon Fraser University.

The highlights of the conferences will be the intensive workshops offered:

- *Compliance Risk Trend Reports and Enforcement – IDA*
- *Sales Compliance & Policy, Financial Compliance and Disciplinary Process – MFDA*
- *Supervision of Derivatives Trading and Compliance Issues – ME*
- *Trend Analysis and Exemptions to UMIR – RS*

The Speakers Program also includes panel discussions on the 2006 agendas of the SROs and CIPF, and an update on the Trade Reporting and Electronic Trail Standards (TREATS) initiative.

Conference delegates may claim 6 hours of Compliance or Professional Development CE credits.

Registration for the Conference is \$280 plus tax, and is available online at the IDA website.

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