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ASSOCIATION OF CANADA

# bulletin



ASSOCIATION CANADIENNE DES  
COURTIERS EN VALEURS MOBILIÈRES

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*For distribution to relevant parties within your firm*

**BULLETIN # 3439**

July 8, 2005

## **Discipline In the matter of Michael Robert De Long; Settlement Agreement Rejected.**

Person Disciplined      A Hearing Panel appointed pursuant to IDA By-law 20 was convened on March 9, 2005 to consider the imposition of discipline penalties on Michael Robert De Long, at all material times a Registered Representative with the Calgary Branch Office of Canaccord Capital Corporation, a Member of the IDA.

By-laws, Regulations,      A Settlement Agreement was presented jointly by the Association and Respondent. The Hearing Panel reviewed the Settlement Agreement and received submissions from the parties, and made a determination to reject the Settlement Agreement.

Policies Violated      No findings.

Penalty Assessed      No findings.

The Written Reasons for Decision of the Hearing Panel will only be released after a subsequent Hearing and final disposition in this matter.

Kenneth A. Nason  
*Association Secretary*