



INVESTMENT DEALERS
ASSOCIATION OF CANADA

bulletin



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

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For distribution to relevant parties within your firm

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By-Laws and Regulations

Amendments to By-law 40: Individual Approvals, Notifications and Related Fees and National Registration Database

The Board of Directors of the Association has approved the attached amendments to By-law 40, effective immediately.

The amendments arise from the decision of the Autorité des marchés financiers (AMF) to join the National Registration Database system (NRD) for the purposes of granting individual registrations in Quebec. They extend to Quebec Members, applicants and approved persons the requirements to file applications for Association approvals of individuals and other notifications through NRD. They also provide for the transition from paper to electronic filing for Quebec Approved Persons.

Effective January 1, 2005 the Association also began granting registration on behalf of the AMF under a delegation order.

Kenneth A. Nason
Association Secretary