



INVESTMENT DEALERS
ASSOCIATION OF CANADA

bulletin



ASSOCIATION CANADIENNE DES
COURTIERS EN VALEURS MOBILIÈRES

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For distribution to relevant parties within your firm

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Discipline

Charge brought against Donald Greco Not Sustained – Alleged Violation of By-law 29.1

Nature of Proceeding On July 21, 2003, a discipline hearing was held before the Ontario District Council (“District Council”) of the Investment Dealers Association (“the Association”) in relation to an allegation that Donald Greco, formerly a registered representative at the Toronto office of Griffiths McBurney & Partners, engaged in conduct unbecoming contrary to By-law 29.1.

By-laws, Regulations, Policies Violated The Association alleged that Mr. Greco while employed at the Toronto office of Griffiths McBurney & Partners, attempted to obstruct, pervert or defeat the course of justice by willfully attempting to influence or persuade a person by threats, intimidation or other corrupt means, to give false or misleading evidence and/or statements during the course of a regulatory proceeding of Market Regulations Services Inc., thereby engaging in conduct unbecoming contrary to By-law 29.1.

Decision of District Council The District Council held that the case that had been presented by the IDA was insufficient to establish clearly and convincingly that Mr. Greco is guilty of the charge as alleged. In arriving at this decision, the District Council stated that the Association’s only witness, Garrett Prins, was not credible.

Kenneth A. Nason
Association Secretary