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# bulletin



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**BULLETIN #2953**

January 23, 2002

## **Association Board of Directors, District Councils and Committees for 2001-2002**

The 2001-2002 Directory of the Association's Board of Directors, Executive Committee, National Advisory Committee, District Councils and Industry committees is attached.

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CIBC World Markets Inc.

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Tracy Hintz  
Steve Bloss  
Ted Percival

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TD Waterhouse Investor Services (Canada) Inc.  
PCTS Inc.  
National Bank Financial Inc.

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Colin Ryan, Chair  
Blaine Troup

BMO Nesbitt Burns Inc.  
Benson - Quinn - GMS Inc.

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James Cristall  
Rob Dzisiak  
Craig Harrison

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CFG Futures Canada Inc.  
Credential Securities Inc.

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Alan McLaughlin, Chair  
Alan Dunnett  
Pam Dixon

RBC Dominion Securities Inc.  
RBC Dominion Securities Inc.  
Wellington West Capital Inc.



## **REGISTRATION**

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Harvey Scrivener

Walter Silicz

Al Dunnett (Alternate)

Craig Harrison (Alternate)    Credential Securities Inc.

Bieber Securities Inc.

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2001 - 02**

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Brad Ens  
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Merrill Lynch Canada Inc.  
Raymond James Ltd.

**MEMBER & PUBLIC RELATIONS**

Brad Ens, Chair  
Mark MacNaughton

Merrill Lynch Canada Inc.  
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**REGISTRATION**

Susan Milburn, Chair  
James Turner  
Cal Malhiot  
Ron Lustig, Alternate

Raymond James Ltd.  
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## ALBERTA DISTRICT COUNCIL

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2001 – 02**

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## PACIFIC DISTRICT COUNCIL

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National Bank Financial Inc.  
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WorldSource Securities Inc.  
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### **MEMBER REGULATION**

Daniel Siu, Chair  
John Griffith  
Debi Hewson  
John Brighten  
Chris Oosthuizen  
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### **CORPORATE FINANCE COMMITTEE**

Fabio Banducci  
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## ASSOCIATION COMMITTEES 2001 - 02

### A. IDA COMMITTEES

#### AUDIT COMMITTEE

Tom Allen, Q.C., Chair	IDA Board Public Director
William D. Packham	IDA Chair
Terrance K. Salman	IDA Vice-Chair
Norman Graham	FAS Chair
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#### CAPITAL MARKETS COMMITTEE

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Donald Paterson	National Bank Financial Inc.
Andrew Pringle	RBC Dominion Securities Inc.
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**SUB-COMMITTEES OF THE CAPITAL MARKETS COMMITTEE (continued)**

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Victor Bunger  
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**FINANCIAL ADMINISTRATORS SECTION (continued)**

**ALTERNATE MEMBERS OF THE FINANCIAL ADMINISTRATORS SECTION (continued)**

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Gail Stopforth	Octagon Capital Corporation
Scott Taylor	Union Securities Ltd.
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**FINANCIAL ADMINISTRATORS SECTION (continued)**

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**SUB-COMMITTEES OF THE FINANCIAL ADMINISTRATORS SECTION (continued)**

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Craig Henshaw	Berkshire Securities Inc.
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Tom Kutti	BMO Nesbitt Burns Inc.
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Jane Smith	Beacon Securities Limited
Mary Stockla	National Bank Financial Corp.
Simon Witney	Canaccord Capital Corporation
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Jane Tan	Investment Dealers Association of Canada
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Neal Stacey	RBC Dominion Securities Inc.
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Jacques Tanguay, Advisor	Bourse de Montréal Inc.

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Winnipeg Commodity Exchange  
Scotia Capital Inc.  
Bourse de Montréal Inc.  
PCTS Inc.  
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Richard Rousseau	IDA Board Director
Joe Oliver	IDA President & CEO

May Attend:

Ian Russell

IDA SVP, Industry Relations and Representation

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Ian D. Bruce	IDA Board Director
Tom Allen	IDA Board Public Director
John L. Howard, Q.C.	IDA Board Public Director
Joseph J. Oliver	IDA President & CEO

May Attend:

William D. Packham

Paul Bourque

IDA Chair

IDA SVP, Member Regulation

**NOMINATING COMMITTEE**

William D. Packham, Chair	IDA Chair
L. Jacques Ménard	IDA Past Chair
Terrance K. Salman	IDA Vice-Chair
Tom Allen	IDA Public Director
Joseph J. Oliver	IDA President & CEO

**PENSION COMMITTEE**

William D. Packham, Chair	IDA Chair
Terrance K. Salman	IDA Vice Chair
Joseph J. Oliver	IDA President & CEO

## **REGIONAL DEALERS COMMITTEE**

### **Executive Committee**

Robert Caldwell, Chair	Robert Caldwell Capital Corporation
Robb Boyd	Edward Jones
Roger Casgrain	Casgrain & Company Limited
Michael Greenwood	Canaccord Capital Corporation
John Hanrahan	Acadian Securities Incorporated
Michael St. B. Harrison	MacDougall, MacDougall & MacTier Inc.
Lonsdale Holland	Beacon Securities Limited
Deborah Metcalfe	Bieber Securities Inc.
Jean-Claude Paradis	Leduc & Associés Securities Canada Ltd.
Bruce Ramsay	Acumen Capital Finance Partners Limited
S. Ross Sherwood	Odlum Brown Limited
Charles Spiring	Wellington West Capital Inc.
Norman F. Thompson	Union Securities Ltd.

### **RETAIL SALES COMMITTEE**

Thomas S. Monahan, Chair	CIBC World Markets Inc.
Lorne Harper, Vice-Chair	RBC Dominion Securities Inc.
Kevin Barnes	Merrill Lynch Canada Inc.
Germain Carrière	National Bank Financial Inc.
Daniella Dimitrov	
John Eymann	Pacific International Securities Inc.
Philip L. Heimbecker	Raymond James Ltd.
Dean Kechely	Edward Jones
Peter H. Lee	TD Securities Inc.
Shawn McConville	Scotia Capital Inc.
Richard Mills	BMO Nesbitt Burns Inc.
James Porter	HSBC Securities (Canada) Inc.
S. Ross Sherwood	Odlum Brown Limited
Jane Smith	Beacon Securities Limited
Geoffrey Horrocks, Ex-Officio	TD Securities Inc.
Morag MacGougan, Ex-Officio	Investment Dealers Association of Canada
Kristine Vikmanis, Ex-Officio	BMO Nesbitt Burns Inc.
Roberta Wilton, Ph.D., Ex-Officio	Canadian Securities Institute

### **SUB-COMMITTEES OF THE RETAIL SALES COMMITTEE**

#### ***Insurance Sub-Committee***

Robert Aggio	TD Bank
Daniel Baron	RBC Dominion Securities Inc.
Dianne Bill McNutt	BMO Nesbitt Burns Inc.

## **SUB-COMMITTEES OF THE RETAIL SALES COMMITTEE (continued)**

### **INSURANCE SUB-COMMITTEE (continued)**

Rocco Colangelo	Wood Gundy Financial Services Inc.
Steven Elliott	CIBC World Markets Inc.
Heather Giffin	Wood Gundy Financial Services Inc.
Gail Head	BMO Nesbitt Burns Inc.
Paisley Hill	HSBC Securities (Canada) Inc.
Stephen A. Hub	Scotia Capital Inc.
Viktoria Jackson	Scotia Capital Inc.
Leo Maiorino	RBC Dominion Securities Inc.
Dale Martin	Assante Insurance
Rob McGavin	RBC Dominion Securities Inc.
James McKeown	Edward Jones
Christine Nicoll	TD Evergreen Financial Services Inc.
Robert Pearson	Scotia Capital Inc.
Tim Ryan	RBC Dominion Securities Inc.
Doug Salberg	Raymond James Ltd.
Laurie Sturm	Assante Insurance
Pam Thompson	BMO Nesbitt Burns Inc.
Deborah Wilmot	Merrill Lynch Canada Inc.
Morag MacGougan, Ex-Officio	Investment Dealers Association of Canada

## **B. JOINT INDUSTRY COMMITTEES**

### **CANADIAN CAPITAL MARKETS ASSOCIATION (FORMERLY G-30)**

Keith Rose, FAS Liaison Officer      Merrill Lynch Canada Inc.

### **COMPLIANCE AND LEGAL SECTION**

#### **CLS Executive**

Geoffrey Horrocks, Chair	TD Securities Inc.
Donald J. Burwell, Vice-Chair	Edward Jones
Jean-Luc Brunet	Desjardins Securities Inc.
Shaun Devlin	Assante Capital Management Ltd.
Bradley Doney	Merrill Lynch Canada Inc.
Edward Kholodenko	Quest Capital Group Ltd.
Judy E. Long	Scotia Capital Inc.
Doug MacKay	Credential Securities Inc.
Ward McMahon	Canaccord Capital Corporation
Patrick McNewly	Yorkton Securities Inc.
James McVey	BMO Nesbitt Burns Inc.
Carol Petrenko	RBC Dominion Securities Inc.
Guy Roby	National Bank Financial Inc.

***SRO Representatives***

Michelle Alexander	Investment Dealers Association of Canada
Paul Bourque	Investment Dealers Association of Canada
Larry Boyce	Investment Dealers Association of Canada
Bruce Dickson	Investment Dealers Association of Canada
Sonja McCreary	Investment Dealers Association of Canada
Keith Rose	Investment Dealers Association of Canada
Deborah Wise	Investment Dealers Association of Canada

**SUB-COMMITTEES OF THE COMPLIANCE & LEGAL SECTION**

***Discretionary vs. Managed Accounts Sub-Committee***

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Michelle Alexander	Investment Dealers Association of Canada
Larry Boyce	Investment Dealers Association of Canada
C. Doug Fox	Raymond James Ltd.
Warren Funt	Investment Dealers Association of Canada
Geoffrey Horrocks	TD Securities Inc.
Stephen Leacock	Rampart Securities Inc.
Lawrence McQuid	Pacific International Securities Inc.
James McVey	BMO Nesbitt Burns Inc.
Norman F. Thompson	Union Securities Ltd.
Deborah Wise	Investment Dealers Association of Canada
Nanci York	Scotia Capital Inc.

***Education Sub-Committee***

Kimberley Buntain, Chair	BMO Nesbitt Burns Inc.
Glenn Abbott	Private Client Division
Joseph Bajic	Toronto Stock Exchange
Lina Barbusci	Investment Dealers Association
Richard Bolduc	Investment Dealers Association
Yann Citté	Investment Dealers Association
Chris Climo	TD Securities Inc.
Ruth-Ann Companion	Scotia Discount Brokerage
Lison Couture	Investment Dealers Association
Catherine Davis	TD Evergreen
Cheryl deMontigny	Thomas Kernaghan
Rick Driffield	CGI
Anges Glavin	Investment Dealers Association
Kim Gorius	Haywood Securities
Allani Karim	Dundee Securities
Heather Kelly	Jennings Capital Inc.
Sasha Latka	TD Securities Inc.



**SUB-COMMITTEES OF THE COMPLIANCE & LEGAL SECTION (continued)**

**EDUCATION SUB-COMMITTEE (continued)**

Faye Leong	Investment Dealers Association
Lee Robinson	Merrill Lynch Canada (CIBC)
Christine Sauriol	Toronto Stock Exchange
Natercia Sousa	TD Securities Inc.
Krista Thomson	Wolverton Securities Ltd.
Ruby Wallis	FirstEnergy Capital
Doug Weich	Octagon Capital Corporation

***Institutional Sub-Committee***

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Leo Ciccone	Griffiths McBurney & Partners
Marcelo Cosma	Merrill Lynch Canada Inc.
Geoffrey Horrocks	TD Securities Inc.
Jim Lee	HSBC Securities (Canada) Inc.
Douglas Walker	BMO Nesbitt Burns Inc.
Gerhard Wetzol	National Bank Financial Corp.

***Legislative Sub-Committee***

Michelle Alexander	Investment Dealers Association of Canada
Nick Cardinale	Merrill Lynch Canada Inc.
Edith Cassels	CIBC
Shaun Devlin	Assante Capital Management Ltd.
Brian Driscoll	Burgeonvest Securities Limited
Geoffrey Horrocks	TD Securities Inc.
Morag MacGougan	Investment Dealers Association of Canada
James McVey	BMO Nesbitt Burns Inc.
Bret Mecredy-Williams	CIBC World Markets Inc.
James Miller	Canaccord Capital Corporation
Brent H. Moore	Royal Bank of Canada
Douglas Walker	BMO Nesbitt Burns Inc.
Deborah Wise	Investment Dealers Association of Canada

***Money Laundering Sub-Committee***

Robert Blanchard	Haywood Capital Markets
Larry Boyce, Staff Liaison	Investment Dealers Association of Canada
Nick Cardinale	Merrill Lynch Canada Inc.
Richard Corner, Staff Liaison	Investment Dealers Association of Canada

Peter Drumm	RBC Dominion Securities Inc.
Guenther Kleberg	CIBC Financial Planning Inc.
James Miller	Canaccord Capital Corporation
Lorne Switzer	BMO Nesbitt Burns Inc.

*Portfolio Management/Managed Accounts Sub-Committee*

Dana Boyko, Chair	BMO Nesbitt Burns Inc.
Mark Barnicutt	
Larry Boyce, Staff Liaison	Investment Dealers Association of Canada
Kevin Dyer	Union Securities Ltd.
Daniel Gordon	Friedberg Mercantile Group
	Canadian Securities Institute
Debi Hewson	Odlum Brown Limited
Bret Mecredy-Williams	CIBC World Markets Inc.
David Oliver	CIBC World Markets Inc.
James Porter	HSBC Securities (Canada) Inc.
Kim Shannon	Merrill Lynch Canada Inc.
Richard Stuchberry	First Associates Investments Inc.
Cathy Watson	MacDougall, MacDougall & MacTier Inc.

*Registration Sub-Committee*

Debby Best, Chair	RBC Dominion Securities Inc.
Michelle Alexander	Investment Dealers Association of Canada
Laura Beswick	Assante Capital Management Ltd.
Rick Britnell	Scotia Capital Inc.
Glen Cameron	CIBC World Markets Inc.
Tammy Cardinal	CIBC Investor Services Inc.
Douglas B. Carnall	TD Securities Inc.
Lily Chan	BMO Nesbitt Burns Inc.
Catherine Conroy	Scotia Capital Inc.
Wendyanne D'Silva	Investment Dealers Association of Canada
Sue Featherstone	Griffiths McBurney & Partners
Naznin Grattan	TD Securities Inc.
Danielle Kovanchak	Merrill Lynch Canada Inc.
Tara Lobb	Royal Bank Action Direct Inc.
Judy E. Long	Scotia Capital Inc.
Jessica Mulvena	Dundee Securities Corporation
Judy Nicholson	Edward Jones
Lucy Pacheco	Investment Dealers Association of Canada
Mike Pickett	Canadian Securities Institute
Melanie Sampson	RBC Dominion Securities Inc.
Janet Scott	CIBC World Markets Inc.
Alex Taylor	Merrill Lynch Canada Inc.
Deborah Thai	TD Securities Inc.

## **JOINT SECURITIES INDUSTRY COMMITTEE ON CROSS-BORDER ISSUES**

John L. Howard, Q.C., Chair

Tim Baikie

Ian D. Beddis

Luc Bertrand

Robert Blanchard

Paul Riccardi

***SRO Representative***

Ian Russell

The Toronto Stock Exchange

Scotia Capital Inc.

Bourse de Montréal Inc.

Haywood Securities Inc.

TD Securities Inc.

Investment Dealers Association of Canada

## **C. SECURITIES INDUSTRY ADVISORY COUNCIL**

Ian S. Brown

Wayne Fox

Jacques O. Nadeau

William Packham

Barbara G. Stymiest

***SRO Representatives***

Luc Bertrand

L. Jacques Ménard

Joseph J. Oliver

Daniel F. Sullivan

Raymond James Ltd.

CIBC World Markets Inc.

Yorkton Securities Inc.

Merrill Lynch Canada Inc.

The Toronto Stock Exchange

Bourse de Montréal Inc.

BMO Nesbitt Burns Inc.

Investment Dealers Association of Canada

Scotia Capital Inc.

## **D. CANADIAN SECURITIES INSTITUTE**

\*A new board is being elected\*

## **E. CANADIAN DEPOSITORY FOR SECURITIES**

Peter Virvilis

Canaccord Capital Corporation

## **F. FUNDSERV INC.**

Norman K.J. Graham

Scotia Capital Inc.

## **G. CANADIAN INVESTOR PROTECTION FUND**

Michael St. B. Harrison, Chairman

Rozanne E. Reszel,

President & CEO

MacDougall, MacDougall & MacTier Inc.

Canadian Investor Protection Fund

Barbara D. Love, Vice President  
and Secretary  
Counsel  
Auditors

Canadian Investor Protection Fund  
Borden Ladner Gervais LLP  
Ernst & Young LLP

**Public Governors**

Jean Béique  
Claude Lamoureux  
Clayton Manness  
Dawn Russell  
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CN Investments Division  
Ontario Teachers' Pension Plan Board  
Man Agra Capital Inc.  
Dalhousie Law School  
Sparrow Holdings Ltd.

**Industry Governors**

Ian S. Brown  
William D. Packham  
Jacques O. Nadeau  
Wayne C. Fox

Goepel McDermid Inc. (CDNX)  
Merrill Lynch Canada Inc. (IDA)  
Scotia Capital Inc. (ME)  
CIBC World Markets Inc. (TSE)