

IIROC NOTICE

Member Notice Change of Name

Please distribute internally to:

Credit
Legal and Compliance
Senior Management
Trading Desk

Contact:

Jaskirn Pannu-Purba
Membership & GCO Specialist
416-943-5825
jpurba@iiroc.ca

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BFIN Securities LP

Investment Industry Regulatory Organization of Canada has been informed that BFIN Securities LP has changed its name to:

Sera Global Securities Canada LP

The change is effective February 19, 2021.