

# IIROC NOTICE

## Education Notice

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## **Register for February 2, 2021 IIROC Virtual Conference**

### **A Conversation with the Chair of the Ontario Capital Markets Modernization Taskforce**

We are pleased to announce that IIROC's next virtual conference will take place on Tuesday, February 2, 2021, from 1:00-2:30 pm Eastern Time (ET).

The conference will feature IIROC's President and CEO, Andrew J. Kriegler, in a conversation with Walied Soliman, Chair of the Ontario Capital Markets Modernization Taskforce and Canadian Chair of Norton Rose Fulbright. They will discuss the January 22, 2021 publication of the Capital Markets Taskforce's final report which includes over 70 recommendations aimed at supporting growth and competitiveness in Ontario's capital markets. Click [HERE](#) to access the report.

IIROC is pleased to be able to host this event for our Members as we support the Taskforce's goals to encourage a more efficient and effective regulatory environment that fosters vibrant capital markets and strengthens market conduct practices, and where innovation and investment leads to better outcomes for all market participants.



The report is the culmination of an extensive stakeholder consultation by the Taskforce to ensure that capital market regulation not only keeps pace with the changing environment but fosters strong and competitive capital markets contributing significantly to investor confidence and economic growth.

IIROC was among hundreds of stakeholders that participated in these consultations and made submissions to the Taskforce given our shared desire and commitment to enhance investor protection and access to a broader range of financial products and services while reducing unnecessary duplication and red tape.

The virtual session will enable Mr. Soliman to discuss in more detail a broad range of practical recommendations that could be implemented by the Ontario Government in phases delivering tangible results for all market participants. This will be particularly beneficial if IIROC Members intend to respond to the Taskforce recommendations as the Government considers next steps in this process.

Themes to be discussed will include:

- Creating a flexible regulatory framework that is adaptable to changing conditions
- Facilitating a level playing field between large and smaller market participants
- Reducing regulatory burden and streamlining processes/requirements to save businesses' resources
- Enhancing investor protection to instill confidence in Ontario's capital markets and attract investments
- Encouraging innovation in the sector that will ultimately benefit business and investors
- Improving corporate and regulatory governance structures to improve efficiency and effectiveness
- Re-invigorating the intermediary sector and improving capital-raising opportunities, particularly for smaller issuers
- Ensuring enforcement practices and procedures are effective
- Aligning final recommendations, where possible, with other regulatory jurisdictions

The session will be conducted in English, with simultaneous translation in French. As a pan-Canadian organization, we will be pleased to respond to questions in both English and French.

This conference is free of charge. Compliance continuing education (CE) credits will be available on the virtual conference platform and will also be distributed via email at the conclusion of the event for conference registrants.

We plan to release a webcast of the virtual conference shortly after the event date.

Click [HERE](#) to register for the February 2 event.

Thank you for your ongoing support and participation in IIROC's educational programs.