

IN THE MATTER OF:

**THE RULES OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

AND

BONNIE WYATT

NOTICE OF MOTION FOR SETTLEMENT HEARING

Staff of the Investment Industry Regulatory Organization of Canada (IIROC) will ask an IIROC hearing panel to conduct a hearing and accept a Settlement Agreement that it has entered into with Bonnie Wyatt, pursuant to Section 8428 of the Consolidated Enforcement, Examination and Approval Rules of IIROC in this matter.

The settlement hearing will be held on **Friday, April 9, 2021 at 10:00 a.m.**

The settlement hearing will be held by way of **videoconference**.

THE SETTLEMENT AGREEMENT

The Settlement Agreement relates to a Notice of Hearing that was issued in this matter on October 28, 2020 and would settle the proposed allegations that Ms Wyatt contravened IIROC Rules 29.1/1400 by failing to comply with her Dealer Member's policies and procedures by facilitating new investment loans for clients and Rule 1300.1(a) by failing to ensure accurate information on client documentation.

THE SETTLEMENT HEARING

The hearing is not open to the public, but the public will be advised whether the Settlement Agreement has been accepted or rejected. The Settlement Agreement will be made public if it is accepted by the Hearing Panel together with the Hearing Panel's reasons for acceptance.

DATED this 26 day of March, 2021.

"National Hearing Coordinator"

NATIONAL HEARING COORDINATOR

Investment Industry Regulatory Organization of Canada

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