

IIROC NOTICE

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21-0074
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New Webcast: Highlights of IIROC's Compliance Priorities Report

This webcast highlights regulatory developments, issues, trends identified, and steps taken by IIROC to help firms with compliance while ensuring investor protection.

IIROC priorities stem from regulatory changes, risks and trends identified in firms' business conduct, financial operations requirements, trading practices, as well as registration and membership filings and disclosures.

Click [HERE](#) to access IIROC's Compliance Priorities Report which is published annually.

The webcast features the following IIROC senior executives participating in a moderated discussion:

- Louise Hamel, Vice-President, Member Compliance
- Kevin McCoy, Vice-President, Market Policy and Trading Conduct Compliance
- Marina Ripoche, Vice-President, Registration
- Suzanne Lasrado, Director, Member Regulation and Strategy - Acting



This webcast will be available for viewing on **April 12, 2021** at 7:00 am Eastern time and on a 24/7 basis after that date.

There will be 0.5 compliance continuing education credits available.

Click [HERE](#) to register.

Thank you for your ongoing support of and participation in IIROC's educational programs.