

IIROC NOTICE

Membership Development Notice Suspension

Please distribute internally to:

Credit
Legal and Compliance
Senior Management
Trading Desk

Contact:

Jaskirn Purba
Membership & GCO Specialist
416 943-5825
jpurba@iiroc.ca

20-0104
May 21, 2020

PACE SECURITIES CORP.

Following a hearing held on May 21, 2020 in Toronto, Ontario, a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) suspended the membership rights and privileges of PACE Securities Corp. The suspension is effective May 21, 2020.

The Order is available at:

http://www.iiroc.ca/documents/2020/ccaa3652-4c94-4e63-be4b-2e7343138c3a_en.pdf