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New Webcast: Recording of the Tips for Traders Montreal session – November 28, 2019

Keeping up on developments in today's marketplace is a challenge. New developments in products, trading technologies and the increasing globalization of our industry make for a landscape that is constantly changing. To help you understand the compliance issues associated with this environment, the Member Education Services Department of the Investment Industry Regulatory Organization of Canada (IIROC) is pleased to announce the release of a Webcast of the Tips for Traders session held on November 28, 2019 in Montreal.

IIROC's Kevin McCoy, Vice-President, Market Policy and Trading Conduct Compliance, Alex Taylor, Vice-President, Market Surveillance, Jamil Abou Saleh, Vice President, Analytics and Michael Librizzi, Senior Manager, Business Conduct Compliance and Trading Conduct Compliance will highlight the following topics:

- New SMARTS system
- Role of Analytics
- LEI Implementation update
- Crypto Assets



- Trading 101

This webcast, which is available in English only, will be available for viewing on January 28, 2020 at 7:00 am Eastern time and on a 24/7 basis after that date.

Your participation in this webcast will earn you **1.5 hours of IROC Continuing Education Compliance Credits.**

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