

# IIROC NOTICE

## Education Notice

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**20-0178**

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## **New Webcast: Client Identifiers Online Workshop**

The Client Identifiers Amendments for Listed Securities will come into effect on July 26, 2021.

To help you understand the compliance and implementation issues associated with the Amendments, this webcast will cover:

- the new requirements for listed securities;
- what to include on an order in various scenarios using tools available on the Client Identifiers section of the IIROC website, such as the FIX Specifications;
- responsibilities of the originating Dealer Member and the executing Dealer Member; and,
- enhancements to the Regulatory Marker Correction System.



The IIROC presenters are:

- Kevin McCoy, Vice-President, Market Policy and Trading Conduct Compliance
- Sonali GuptaBhaya, Director, Market Regulation Policy
- Theodora Lam, Senior Policy Counsel, Market Regulation Policy
- Amit Patel, Director, Application Management Services
- Helen Hogarth, Product Manager, Market Surveillance and Trading Review and Analysis
- Debbie Urquhart, Executive Assistant, Market Regulation Policy

This webcast (currently only available in English), will be available for viewing on August 20, 2020 at 7:00 am Eastern time and on a 24/7 basis after that date.

Please note the French version of the webcast will be available in the coming weeks.

Your participation in this webcast will earn you **1.5 hours of IIROC Continuing Education Compliance Credits**.

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