

# IIROC NOTICE

**Administrative Notice**  
General

*Please distribute internally to:*  
Senior Management

*Contact:*

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General Counsel and Corporate Secretary  
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**19-0152**  
**August 26, 2019**

## **Notice of Annual Meeting of Members**

Notice is hereby given that the Annual and Special Meeting of the Members of the Investment Industry Regulatory Organization of Canada / Organisme canadien de réglementation du commerce des valeurs mobilières (the “**Corporation**”) will be held at the Club St. James, 1145 Union Avenue, Montreal, Quebec, in Meeting Rooms 7-8-9 (second floor) at 4:30 p.m. on the 24<sup>th</sup> day of September, 2019, for the following purposes:

1. to receive and consider the financial statements of the Corporation for the financial year ended March 31, 2019 and the Independent Auditor’s Report thereon;
2. to elect directors;
3. to appoint a public accountant to conduct an audit engagement and to authorize the directors to fix their remuneration;
4. to approve by special resolution an amendment to By-law No. 1 of the Corporation; and
5. to transact such further and other business as may properly come before the meeting or any adjournment thereof.



Members of the Corporation are comprised of Dealer Members and Marketplace Members. Meeting materials were mailed to Members on August 23, 2019.

If you have any questions or require more information with regard to the procedures for attending the meeting and voting, please contact D.F. King Canada (**D.F. King**), our proxy solicitation agent, by telephone, toll-free in North America at 1-866-521-4428 or outside North America at 1-212-771-1133 or by e-mail at [inquiries@dfking.com](mailto:inquiries@dfking.com).