

# IIROC NOTICE

## **Membership Development Notice Suspension**

*Please distribute internally to:*

Credit  
Legal and Compliance  
Senior Management  
Trading Desk

*Contact:*

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**19-0143**  
**August 16, 2019**

## **Dominick Capital Corporation**

Following a hearing held on August 16, 2019 in Toronto, Ontario, a Hearing Panel of the Investment Industry Regulatory Organization of Canada (IIROC) suspended the membership rights and privileges of Dominick Capital Corporation. The suspension is effective August 16, 2019.

The Order is available at

[http://www.iiroc.ca/documents/2019/116c982b-995d-4192-aa74-9e1e3cb5f516\\_en.pdf](http://www.iiroc.ca/documents/2019/116c982b-995d-4192-aa74-9e1e3cb5f516_en.pdf)