

**From:** [Jean-Francois Bernier](#)  
**To:** [Charles Piroli](#)  
**Cc:** [MarketRegulation@osc.gov.on.ca](mailto:MarketRegulation@osc.gov.on.ca); [Claudyne Bienvenu](#); "[Bresler, Kevin](#)"  
**Subject:** Notice 15-0248\_ Proposed Amendments of Requirement to Disclose Membership in IIROC as a Dealer Member\_Dealer Rules \_Request for Comments  
**Date:** Tuesday, November 17, 2015 12:29:42 PM  
**Importance:** High

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Charles,

I would comment that IIROC could want to clarify its intentions as to the application of this requirement when IIROC firms are acting as carrying brokers to registered portfolio management or even other IIROC dealer firms.

In other words, are these (carrying) dealers exempted from introducing the IIROC logo on client account statements and trade confirmations to the end-client? Should they be?

In some instances of account statement and trade confirmation “white-labeling” these questions take on even more relevance.

Regards,

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