

# IIROC NOTICE

## **Rules Notice Technical**

Dealer Member Rules

*Please distribute internally to:*

Credit  
Internal Audit  
Legal and Compliance  
Regulatory Accounting  
Senior Management  
Trading Desk  
Training

*Contact:*

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**15-0118**  
**May 19, 2015**

## **List of Approved Inter-Dealer Bond Brokers**

The list of approved inter-dealer bond brokers is published to identify the inter-dealer bond brokers that are approved by IIROC's Board of Directors in accordance to Dealer Member Rule 36, Inter-Dealer Bond Brokerage Systems. Dealer Members are to margin balances with these inter-dealer bond brokers in the same way as accounts of "acceptable counterparties" as set out in the Notes and Instructions to Schedule 5 in Form 1.

The list has been updated to recognize the name change of ICAP Electronic Broking LLC to BrokerTec Americas LLC. Enclosed as [Attachment #1](#) is a copy of the list of approved inter-dealer bond broker and this list is effective May 22, 2015.

This list replaces the previous list provided in IIROC Rules Notice 09-0186, issued on June 22, 2009.