

# IIROC NOTICE

## **Enforcement Notice Decision**

*Please distribute internally to:*  
Legal and Compliance

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**11-0305**  
**November 1, 2011**

## **IN THE MATTER OF MF Global Canada Co. - Suspension**

Following an expedited hearing held on Tuesday November 1, 2011, in Toronto, Ontario, with notice to MF Global Canada Co. (MF Global), a Hearing Panel of the Investment Industry Regulatory Organization of Canada ("IIROC") ordered the following:

- 1) that MF Global Canada Co.'s membership with IIROC be immediately suspended;
- 2) that MF Global Canada Co. comply with IIROC Dealer Member Rule 600 throughout the suspension period;
- 3) that MF Global Canada Co. immediately cease dealing with the public;
- 4) that IIROC Staff may undertake any action to facilitate the orderly transfer of client accounts, including liquidating trades, from MF Global, with the exception of the accounts of MF Global Partners, Officers, Directors, Shareholders, and debt holders and their affiliates. MF Global shall report to IIROC Staff as directed every 30 days regarding any action taken pursuant to this Order, until such time as all MF Global client accounts have been transferred to third party Member Firms.



The Order came into effect on Tuesday November 1, 2011.

The Notice of Application for the matter is available at

<http://docs.iroc.ca/DisplayDocument.aspx?DocumentID=D3FA8BBF73104E91BC5CFE64B2FEFF40&Language=en>

The Hearing Panel's Order is available at

<http://docs.iroc.ca/DisplayDocument.aspx?DocumentID=E18369A4B3DC467BA459AD1462511AFE&Language=en>