

# IIROC NOTICE

## **Rules Notice**

### **Technical**

Dealer Member Rules

*Please distribute internally to:*

Credit  
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*Contact:*

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**10-0302**

**November 19, 2010**

## **List of Recognized Exchanges and Associations (Regulated Entities Purposes)**

This list identifies the exchanges and associations whose members qualify as “regulated entities” as defined in the General Notes and Definitions to Form 1 (the Joint Regulatory Financial Questionnaire and Report). These exchanges and associations meet the six criteria that are specified in the definition of regulated entities.

Regulated entities is one of four counterparty classification categories, which is to be used by Dealer Members in applying margin and capital requirements on transactions with these counterparties. This list has been updated by adding Bolsa de Madrid in Spain and removing The Pacific Exchange in the U.S.

This list replaces the previous list provided in IIROC Rules Notice 10-0250, issued on September 16, 2010 and is effective immediately.

[List of Recognized Exchanges and Associations](#)