

IIROC NOTICE

Rules Notice Technical

Dealer Member Rules

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List of Recognized Exchanges and Associations for the Purposes of Determining Entities that Qualify as Regulated Entities

Attached is a [list of exchanges and associations](#) that qualify as “recognized exchanges and associations” under the definition of “regulated entities” set out in the General Notes and Definitions to the Joint Regulatory Financial Questionnaire and Report (Form 1). This list replaces the previous list, which was issued as part of IIROC Notice 08-0094 and is effective immediately.

The list has been updated by adding the Central Bank and Financial Services Authority of Ireland (CBFSAI). The recognition of the CBFSAI would be limited to Markets in Financial Instruments Directive (MiFID) Investment Firms.

IIROC Dealer Member Rules referenced in this Notice:

- Form 1, General Notes and Definitions, definition of the term "regulated entities".