

IIROC NOTICE

Rules Notice
Guidance Note

Dealer Member Rules

Please distribute internally to:

Credit
Internal Audit
Legal and Compliance
Regulatory Accounting
Senior Management
Trading Desk
Training

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List of Approved Inter-Dealer Bond Brokers

Attached is a list of approved inter-dealer bond brokers for the purposes of Schedule 5 of Form 1. This list replaces the list of approved inter-dealer bond brokers that was previously issued as part of Notice 09-0040. The list has been updated to recognize the name change of Prebon Canada Limited to Tullett Prebon Canada Limited. The list is effective on June 22, 2009.



List of Approved Inter-Dealer Bond Brokers

[Updated as at June 15, 2009.]

1. Freedom International Brokerage Company
2. ICAP Electronic Broking LLC
3. ICAP Securities LLC
4. Tullett Prebon Canada Limited
5. Shorcan Brokers Limited