

INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA
New Member Applicant Fee Guideline for FY2019

FIRM NAME:

1) **ENTRANCE FEE CALCULATION**

[IIROC Fee Model Guideline, 1]

\$25,000

2) **CALCULATION OF ANNUAL FEES**

[IIROC Fee Model Guideline, 8]

Date of membership -MM/DD/YYYY

3/31/2019

Fiscal year end date

Qualifies for "1/2" proration? [between September 30 and December 31]

No

Qualifies for "1/4" proration? [between January 1 and March 31]

No

Annual Minimum fee

\$15,000

Subject to pro-ration for New Members

No

What pro-ration factor is applied

N/A

Pro-rated Overall Annual Minimum Fee

\$15,000

3) **RESTRICTED FUND**

[IIROC Fee Model Guideline, 2 (0.5% of the expected initial capital calculated according to IIROC Form 1)]

* 0.5%

\$0

Updated: 3/9/2018