

****Historical Course List – Replaced Effective January 1, 2018****

**Courses recognized by IIROC for Voluntary Participation
in the Continuing Education Program**

Current Courses	Satisfies	Administered By
Advanced Estate Planning and Trust Strategies	Professional Development	CSI
Advanced Investment Strategies	Professional Development	CSI
Advanced Retirement Management Strategies	Professional Development	CSI
Anti-Money Laundering and Terrorist Financing^^	Compliance	CIFP
Branch Managers Course	Either Professional Development or Compliance but not both	CSI
CE Compliance Program (or predecessor course the “CE Compliance Course including CPH for Professionals”)	Compliance	CSI
Certified Investment Management Analysts Course	Professional Development	Investment Management Consultants Association
Commodities as Investments	Professional Development	CSI
Conduct and Practices Handbook Course^	Compliance	CSI
Derivatives Fundamentals and Options Licensing Course	Professional Development	CSI
Fundamentals of Financial Planning	Professional Development	CSI
FPSC Level 1 Examination	Professional Development	Financial Planning Standards Council
CFP Examination	Professional Development	Financial Planning Standards Council
Financial Planning II	Professional Development	CSI
Chartered Financial Analyst Program (each level)	Professional Development	CFA Institute
Chief Compliance Officers Qualifying Examination	Either Professional Development or Compliance but not both	CSI
Derivatives Fundamentals Course	Professional Development	CSI
CE Ethics Course, Retail Version or CE Ethics Course, Institutional Version (or predecessor course “Ethics Module and Case Study”)	Compliance	CSI
Financial Planning Supplement (FPSU)	Professional Development	CSI
IQPF Professional Training Course (including the IQPF Exam)	Professional Development	Institut quebecois de planification financiere
Overview and Trends: Trade Desk Risk Management and Compliance	Compliance	CSI
Partners, Directors and Senior Officers Course	Either Professional Development or Compliance but not both	CSI
Portfolio Management Techniques	Professional Development	CSI
Wealth Management Essentials	Professional Development	CSI
WME for Financial Planners	Professional Development	CSI
WME for Wealth Managers	Professional Development	CSI

WME for Investment Managers	Professional Development	CSI
Investment Management Techniques	Professional Development	CSI
700 Series of Personal Financial Planning Courses	Professional Development	CSI
CIFP Securities Compliance Principles	Compliance	CIFP

Historical Courses		
Wealth Management Techniques (discontinued as of Dec 1, 2008)**	Professional Development	CSI
Personal Financial Planning Course**	Professional Development	Institute of Canadian Bankers
Investment Dealers Securities Compliance and Supervision Training Program**	Either Professional Development or Compliance but not both	Justice Institute Of British Columbia
Professional Financial Planning Course**	Professional Development	CSI

Note: The courses listed above may only be used once to qualify for Voluntary Participation in IIROC's CE program.

Please refer to IDA Member Regulation Notice 323 for further details on Voluntary Participation.

** Course valid for CE cycles 1, 2, 3 and 4.

^ Course valid for CE Cycle 6 and following cycles.

^^ Course valid for CE Cycle 5 and following cycles.