

Rule 19.1

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 2891 — By-Laws and Regulations — Amendments to By-law 19 – Examinations and Investigations (October 9, 2001)

Rule 19.4

Linkage (Cross-References)

>Notices (1)

09-0363 — Rules Notice – Notice of Approval / Implementation — Client Complaint Handling Rule and Guidance Note; and amendments to Dealer Member Rules 19, 37 and 2500 (December 21, 2009)

Rule 19.5

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 2891 — By-Laws and Regulations — Amendments to By-law 19 – Examinations and Investigations (October 9, 2001)

>Notices (1)

MR0126 — Interpretation of Amendments to By-Law 19: Enforcement Action for Non-Compliance With Document Production (January 24, 2002)

By-law No. 19.5(b)

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 2891 — By-Laws and Regulations — Amendments to By-law 19 – Examinations and Investigations (October 9, 2001)

Rule No. 19.8

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 2408 — By-Laws and Regulations — Provision Of Information To Canadian Exchanges (September 30, 1997)