

## **Rule 3500**

### **Linkage (Cross-References)**

#### **>Notices (4)**

Notice No. 16-0113 - Technical Notice - Client Relationship Model (“CRM”) - Frequently Asked Questions (May 30, 2016)

Notice No. 14-0073 - Rules Notice - Guidance Note - Use of Business Titles and Financial Designations (March 24, 2014)

Notice No. 12-0108 - Rules Notice - Guidance Note - Client Relationship Model - Guidance (March 26, 2012)

Notice No. 12-0107 - Rules Notice - Notice of Approval / Implementation - Client Relationship Model - Implementation (March 26, 2012)

## **Rule 3500.5**

### **Linkage (Cross-References)**

#### **>Notices (1)**

Notice No. 14-0133 - Rules Notice - Notice of Approval / Implementation - Client Relationship Model - Phase 2 Performance Reporting and Fee / Charge Disclosure amendments to Dealer Member Rules 29, 200 and 3500 and to Dealer Member Form 1 (May 29, 2014)