

## Rule 42

### Linkage (Cross-References)

#### >Notices (4)

Notice No. 12-0225 — Rules Notice – Notice of Approval / Implementation — Client Relationship Model – Extension of implementation of the enhanced suitability assessment requirements prescribed by Dealer Member Rule 1300.1 (July 19, 2012)

Notice No. 12-0109 — Rules Notice – Guidance Note — Know your client and suitability - Guidance (March 26, 2012)

Notice No. 12-0108 — Rules Notice – Guidance Note — Client Relationship Model - Guidance (March 26, 2012)

Notice No. 12-0107 — Rules Notice – Notice of Approval / Implementation — Client Relationship Model – Implementation (March 26, 2012)