

Rule 17

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 3282 — By-Laws and Regulations — Elimination of District Association Auditors and Alternate District Association Auditors – Effective 12 July 2004 (May 10, 2004)

Rule 17.1

Linkage (Cross-References)

>Bulletins (1)

Bulletin No. 3016 — Suspension — Thomson Kernaghan & Co. Limited (July 11, 2002)

Rule 17.12

Linkage (Cross-References)

>Notices (1)

MR0308 — Investor Notification and Approval Process (September 14, 2004)

Rule 17.14

Linkage (Cross-References)

>Notices (2)

MR0106 — Estate Freeze Reorganizations of a Member (October 17, 2001)

MR0074 — Changes in Ownership or Share Capital of Member Firms and Holding Companies (May 9, 2001)

Rule 17.16

Linkage (Cross-References)

>Bulletins (3)

Bulletin No. 3566 — By-Laws and Regulations — By-law 17.16, Business Continuity Planning (August 11, 2006)

Bulletin No. 3442 — By-Laws and Regulations — By-law 17.19, Business Continuity Planning (July 22, 2005)

Bulletin No. 3304 — By-Laws and Regulations — Business Continuity Plan By-Law 17.19 (July 6, 2004)

>Notices (1)

MR0397 — Compliance with By-law 17.19 (May 2, 2006)