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For distribution to relevant parties within your firm

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Educational Web Cast for Branch Managers & Advisors

Compliance for Packaged Fee Based Accounts

Fee Based business represents an increasing percentage of a firm's retail assets. According to Investor Economics summer report 2007, managed assets represent 22.3% of full service brokerage business mix, which is \$160 billion dollars of the \$721 billion in full service brokerage assets.

Our next web cast, the last in our three part series on fee-based business, focuses on packaged fee based offerings. We will review their structure and compliance and risk management elements. We have invited AGF's Senior Vice-President, Rose Cammareri, for the English web cast and Jacques Lepine District Vice President AGF Quebec will host the French web cast. They will share the fulfillment process and their experiences in helping advisors address the risk management aspects of fee based packaged offerings.

The IDA invites guest speakers to help develop content useful to all firms. The IDA does not promote specific products or services, and offers web casts for Branch Managers and Advisors to help share knowledge on best practices and preventive compliance strategies.

The Compliance for Packaged Fee Based programs will take place on October 9, 2007 at 4pm EDT in English and October 10, 2007 at 4pm EDT in French. For those experiencing a scheduling conflict a replay of the web cast will be made available. The web cast will be 45 minutes in length and participants will be eligible for one hour of Continuing Education credit (CE Credit) which can be applied against fulfilling their compliance requirement.

To keep informed of upcoming Member Services events, simply subscribe to the IDA's e-publications service at www.ida.ca and select the following categories: "Adviser Educational Web Casts" and "Events – Educational Events and Seminars." E-publications is a free, self-managed system, whereby subscribers can change their selection criteria whenever and as many times as they want, making it a quick and easy way to receive information.

Additional information about the program as well as the Registration forms are available at http://www.ida.ca/Media/Events_en.asp

Kenneth A. Nason
Association Secretary